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## ANNEX 13

of the Commission Implementing Decision on the Multi-Annual Action Programme 2018-2020 for the European Instrument for Democracy and Human Rights (EIDHR) to be financed from the general budget of the Union

### **Action Document for EIDHR Support Measures**

<b>1. Title/basic act/ CRIS number</b>	<b>EIDHR Support Measures</b> CRIS number: EIDHR/2018/041-033; EIDHR/2019/041-339; and EIDHR/2020/041-345 financed under the European Instrument for Democracy and Human Rights	
<b>2. Zone benefiting from the action/location</b>	Worldwide	
<b>3. Programming document</b>	Multiannual Indicative Programme (2018-2020) for the Instrument for Democracy and Human Rights Worldwide - Commission Implementing Decision C(2018)6409	
<b>4. Sector of concentration/ thematic area</b>	Human Rights and Democracy	DEV. Aid: YES <sup>1</sup>
<b>5. Amounts concerned</b>	Total estimated cost: <b>EUR 2 500 000</b> The contribution is for an amount of EUR 500,000 from the general budget of the European Union for 2018, for an amount of EUR 1,000,000 from the general budget of the European Union for 2019, subject to the availability of appropriations following the adoption of the relevant budget. and for an amount of EUR 1,000,000 from the general budget of the	

<sup>1</sup> Official Development Aid is administered with the promotion of the economic development and welfare of developing countries as its main objective.

	European Union for 2020, subject to the availability of appropriations following the adoption of the relevant budget.			
<b>6. Aid modality(ies) and implementation modality(ies)</b>	Project Modality Direct management: procurement of services			
<b>7 a) DAC code(s)</b>	15160 - Human Rights			
<b>b) Main Delivery Channel</b>	Non-governmental Organisations (NGOs) and Civil Society - 2000			
<b>8. Markers (from CRIS DAC form)</b>	<b>General policy objective</b>	<b>Not targeted</b>	<b>Significant objective</b>	<b>Main objective</b>
	Participation development/good governance	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Aid to environment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Gender equality (including Women In Development)	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
	Trade Development	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, Maternal, New born and child health	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	<b>RIO Convention markers</b>	<b>Not targeted</b>	<b>Significant objective</b>	<b>Main objective</b>
	Biological diversity	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Combat desertification	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change mitigation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
<b>9. Global Public Goods and Challenges (GPGC) thematic flagships</b>	N/A			
<b>10. SDGs</b>	<p>The action is contributing to the realization of the following SDGs:</p> <p>As a main goal:</p> <ul style="list-style-type: none"> <li>- SDG 16: promote peaceful and inclusive societies for sustainable development, provide access to justice for all and build effective, accountable and inclusive institutions at all levels</li> </ul> <p>As secondary goals:</p> <ul style="list-style-type: none"> <li>- SDG 5: achieve gender equality and empower all women and girls</li> <li>- SDG 10: reduce inequality within and among countries</li> </ul>			

## **SUMMARY**

This action provides the necessary support for the sound implementation of the European Instrument for Democracy and Human Rights (EIDHR), the achievement of its expected objectives and results, and the measurement, analysis and reporting on its impact. The Support Measures are designed to cover expenditures associated with the preparation, follow up, monitoring, evaluation and audit activities directly necessary for the implementation of the EIDHR.

This action document frames the use of these support measures, as envisaged in the Regulation (EU) No 235/2014 establishing a financing instrument for democracy and human rights (EIDHR), in the Multiannual Indicative Programme 2018-2020 for the instrument, and in the Regulation (EU) No 236/2017 laying down common rules and procedures for the implementation of the Union's instruments for financing external action.

## **1 CONTEXT**

### **1.1 Sector/Country/Regional context/Thematic area**

In March 2014, the European Parliament (EP) and the Council adopted Regulation (EU) No 235/2014 establishing a financing instrument for democracy and human rights worldwide – the European Instrument for Democracy and Human Rights (EIDHR) – for the period 2014-2020. The European Instrument for Democracy and Human Rights is a thematic funding instrument for EU external action aiming to support projects in the area of human rights, fundamental freedoms and democracy in non-EU countries. This instrument is designed to support civil society to become an effective force for political reform and defence of human rights.

#### ***1.1.1 Public Policy Assessment and EU Policy Framework***

The European Union is founded on a strong engagement to promote and protect human rights, and democracy worldwide and distinguishes itself as the largest donor in these two areas of support. Since 2014, the EU has enriched and updated its policy framework in the field of human rights and democracy.

This action shall support the actions embedded in this EU's policy framework and be in line, in particular, with the new European Consensus on Development<sup>2</sup> (in particular with the two following areas: People - Human development and dignity; Peace - Peaceful and inclusive societies, democracy, effective and accountable institutions, rule of law and human rights for all),

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<sup>2</sup> [https://ec.europa.eu/europeaid/new-european-consensus-development-our-world-our-dignity-our-future\\_en](https://ec.europa.eu/europeaid/new-european-consensus-development-our-world-our-dignity-our-future_en)

the 2015-2019 Action Plan on Human Rights and Democracy<sup>3</sup>, and the relevant EU human rights guidelines as well as all other relevant human rights and democracy policy documents.

This action will be integrate a rights-based approach, as outlined in the 2014 Tool-box "a Rights-Based Approach, encompassing all human rights, for EU development cooperation" and the respective Council Conclusions.

This action shall also contribute to the implementation of the Gender Action Plan 2016-2020 (GAP II).

### ***1.1.2 Stakeholder analysis***

The main stakeholders for this action are the staff implementing the EIDHR, who can, through the use of support measures, receive adequate support to implement the EIDHR in the most effective manner be it at headquarters or EU delegations.

Other important stakeholders are those involved in the implementation of the Support Measures, such as external consultants and experts, specific EIDHR project's stakeholders, participants to training courses, workshops.

The end beneficiaries of the action are civil society organisations active in the fields of human rights and democracy, human rights defenders and more generally citizens and communities of the partner countries in which the EIDHR is implemented.

### ***1.1.3 Priority areas for support***

This action will cover as priority areas targeted the issues covered by the EIDHR Regulation n°235/2014 under its Article 2 and in the CIR Regulation (EU) No 236/2017 under its Article 3.

More specifically, the action will focus on the following priorities for support:

- Strengthening CSOs' consultation and operational involvement in the implementation of the EIDHR, in particular the organisation of consultations, exchanges and coordination meetings with CSOs and EIDHR beneficiaries.
- Supporting the organisation of meetings with human rights defenders and CSOs from within and outside the EU to mobilise their expertise and input in preparation or follow-up to the formal human rights dialogues with partner countries.
- Supporting the implementation of gender mainstreaming and of the rights based approach to development, including the development of their methodology.
- Improving generally the quality and the efficiency of EIDHR implementation, in particular through needs assessments, studies on issues covered by the Regulation No 235/2014, preparation of EIDHR calls for proposals and guidelines for grant applicants, follow-up of projects, monitoring, audit and evaluation activities.

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<sup>3</sup> Joint Communication "Action Plan on Human Rights and Democracy (2015-2019): Keeping human rights at the heart of the EU agenda" of 28 April 2015 (JOIN(2015)16) adopted by the Council on 20 July 2015 (10897/15)

- Providing support to EU Delegations for the implementation of EIDHR at the local level, sustaining the efficiency of the network of EIDHR focal points on the ground, and bringing additional qualitative support in case of emergent crisis and/or to develop the exchange of good practices.
- Ensuring the visibility of the EIDHR, of its objectives and its results for a diversity of audience.
- Providing research and studies, preparation and exchange of lessons learnt and best practices, on relevant issues and the dissemination thereof

## 2 RISKS AND ASSUMPTIONS

Risks	Risk level (H/M/L)	Mitigating measures
Given the specificity of this action there are no major risks to foresee.		
<b>Assumptions</b>		
<ul style="list-style-type: none"> <li>- Sufficient human resources devoted to the action for the management of the framework and service contracts related to this action.</li> <li>- High quality of experts supplied.</li> </ul>		

## 3 LESSONS LEARNT, COMPLEMENTARITY AND CROSS-CUTTING ISSUES

### 3.1 Lessons learnt

The support measures have allowed programme and project preparation to proceed in a quick and effective manner. The support measures for the EIDHR ensured reinforcing efficiency, performance and impact of all activities related to EIDHR implementation. The support measures allocation has been instrumental not only for implementation of EIDHR alone, but for promotion of some of the crucial policy priorities, for example rights-based approach to development or gender mainstreaming.

### 3.2 Complementarity, synergy and donor coordination

The activities under this action will be developed, if necessary, in coordination with other concerned Commission services (in particular as regards the support measures for the Civil Society Organisations- Local Authorities thematic programme), EU Delegations in third countries and the European External Action Service (EEAS).

It should be noted that the EU Delegations have their own support measures to accompany the local implementation of the EIDHR and are able to spend up to 10 % of their respective annual operational country allocation on support measures (activities in the field of communication and visibility, capacity-building of local civil society organisations, consultation and meetings with local civil society organisations, etc.).

Close attention will be paid to existing arrangements covering studies, monitoring and evaluation, such as the ROM contract, when deciding on actions to be implemented under the Support Measures to ensure complementary and avoid risk of duplication.

### **3.3 Cross-cutting issues**

Cross-cutting issues as such are not directly built into the support measures. They are nevertheless directly relevant for the EIDHR projects and other activities that these support measures are meant to facilitate and to the rights-based approach which EIDHR, all its programmes and these support measures assume.

## **4 DESCRIPTION OF THE ACTION**

### **4.1 Objectives/results**

The **overall objective** of the action is to facilitate the sound management of the EIDHR, the achievement of its expected objectives and results, and the measurement, analysis and reporting on its impact.

The **specific objective** is to cover expenditure associated with the preparation, follow-up, monitoring, audit and evaluation activities directly necessary for the implementation of the instrument.

### **4.2 Main activities**

The Support Measures are implemented through a wide range of activities including:

- Support for programmes: needs assessments, preparatory activities, studies, exchange of lessons learnt and best practices, and any other administrative or technical assistance expenditure necessary for the management of actions;
- Organisation of meetings and training: workshops, civil fora, seminars, working groups, etc.;
- Research and studies on relevant issues and the dissemination thereof;
- Information and communication activities, including the development of communication strategies to enhance the visibility of the instrument, publications, production of promotional material ("goodies");
- Any other administrative or technical assistance expenditure, including interests for late payments

### 4.3 Intervention logic

This action provides the necessary support for the sound management of the European Instrument for Democracy and Human Rights (EIDHR), the achievement of its expected objectives and results, and the measurement, analysis and reporting on its impact.

## 5 IMPLEMENTATION

### 5.1 Financing agreement

In order to implement this action, it is not foreseen to conclude a financing agreement with the partner country.

### 5.2 Indicative implementation period

The indicative operational implementation period of this action, during which the activities described in section 4.1 will be carried out and the corresponding contracts and agreements implemented, is 84 months from the date of adoption by the Commission of this Action Document.

Extensions of the implementation period may be agreed by the Commission's authorising officer responsible by amending this decision and the relevant contracts and agreements; such amendments to this decision constitute technical amendments in the sense of point (i) of Article 2(3)(c) of Regulation (EU) No 236/2014.

### 5.3 Implementation modalities

Both in indirect and direct management, the Commission will ensure that the EU appropriate rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with EU restrictive measures affecting the respective countries of operation<sup>4</sup>.

#### 5.3.1.1 Procurement (direct management)

Subject in generic terms, if possible	Type (works, supplies, services)	Indicative number of contracts	Indicative trimester of launch of the procedure
<i>Programme related studies and technical assistance, conferences, seminars; workshops, training sessions, and study visits;</i>  <i>communication and visibility;</i>	Services	15	Between 1st trimester 2017 and 2nd trimester 2020

<sup>4</sup> [https://eeas.europa.eu/sites/eeas/files/restrictive\\_measures-2017-04-26-clean.pdf](https://eeas.europa.eu/sites/eeas/files/restrictive_measures-2017-04-26-clean.pdf)

<i>audits;</i>			
<i>evaluations and assessments</i>			

#### 5.4 Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

#### 5.5 Indicative budget

<b>Module</b>	<b>Amount in EUR</b>	<b>Third party contribution</b>
4.6.1.1 - Procurement (direct management)		
On 2018 EU Budget	<b>500 000</b>	<b>N.A.</b>
On 2019 EU Budget	<b>1 000 000</b>	<b>N.A.</b>
On 2020 EU Budget	<b>1 000 000</b>	
<b>Totals</b>	<b>2 500 000</b>	<b>N.A.</b>

#### 5.6 Organisational set-up and responsibilities

The contracting authority for the action shall be the European Commission. The overall responsibility for the implementation of the action lies with the relevant services of the European Commission at the headquarters level.

#### 5.7 Performance monitoring and reporting

The day-to-day technical and financial monitoring of the implementation of this action will be a continuous process and part of the implementing partner's responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and when appropriate, elaborate regular progress reports and final reports. Every report shall provide an accurate account of implementation, difficulties encountered, changes introduced, as well as the degree of achievement of its results (outputs and direct outcomes) as measured by corresponding indicators,.



The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

### **5.8 Evaluation**

An evaluation is not foreseen. The Commission may, during implementation, decide to undertake such an evaluation for duly justified reasons either on its own decision or on the initiative of the partner.

The evaluation reports shall be shared with other key stakeholders. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate jointly decide on the follow-up actions to be taken and any adjustments necessary.

The financing of the evaluation shall be covered by another measure constituting a financing decision.

### **5.9 Audit**

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audits or expenditure verification assignments for one or several contracts or agreements.

The financing of the audit shall be covered by another measure constituting a financing decision.

### **5.10 Communication and visibility**

Communication and visibility of the EU is a legal obligation for all external actions funded by the EU.

This action shall contain communication and visibility measures which shall be based on a specific Communication and Visibility Plan of the Action, to be elaborated at the start of implementation and supported with the budget indicated in section 4.8 above.

In terms of legal obligations on communication and visibility, the measures shall be implemented by the Commission, the partner country, contractors, grant beneficiaries and/or entrusted entities. Appropriate contractual obligations shall be included in, respectively, the financing agreement, procurement and grant contracts, and delegation agreements.

The Communication and Visibility Manual for European Union External Action shall be used to establish the Communication and Visibility Plan of the Action and the appropriate contractual obligations.