

ANNEX

Annual Action Programme for Turkey under the Instrument for Pre-accession Assistance (IPA II) for the year 2016

1 IDENTIFICATION

Beneficiary	Turkey
CRIS/ABAC Commitment references	2016/39354
Total cost	EUR 371 486 167,07
EU Contribution	EUR 233 900 000
Budget lines	22.02 03 01
CRIS/ABAC Commitment references	2016/39355
Total cost	4 400 000
EU Contribution	4 400 000
Budget lines	22.02 03 02
Management Mode/ Entrusted entities	<p>Indirect management with Turkey: The Contracting Authority responsible for the execution of the actions is the Central Finance and Contracts Unit (CFCU) at the Under-Secretariat of the Treasury.</p> <p>In case of Action 2 (Support to Participation in Union Programmes and Agencies), implementation will consist in the payment of the IPA part of the financial contribution to the programmes by the National Fund.</p> <p>Activity 5 under Action 6 Home Affairs will be implemented under indirect management with The United Nations Development Programme (UNDP).</p> <p>Direct management by the European Commission under Action 9 (Strengthening of an integrated strategic approach to increase the efficiency and productivity of IPA funds during the third period (2021-2027)).</p>
Final date for concluding <u>Financing Agreements</u> with the IPA II beneficiary	At the latest by 31 December 2017
Final date for concluding <u>procurement and grant contracts</u>	3 years following the date of conclusion of the Financing Agreement, with the exception of cases listed under Article 114(2) of the Financial Regulation
Final date for operational implementation	6 years following the date of conclusion of the Financing Agreement.

Final date for implementing the Financing Agreement	12 years following the conclusion of the Financing Agreement.
Programming Unit	NEAR A.5 – Turkey unit
Implementing Unit/ EU Delegation	EU Delegation to Turkey (Ankara)

2 DESCRIPTION OF THE ACTION PROGRAMME

2.1 SECTORS SELECTED UNDER THIS ACTION PROGRAMME

- Rationale for the selection of the specific sectors under this programme:

With the aim to concentrate IPA II financial assistance for Turkey on key priorities, the proposed annual action programme covers three sectors identified in the Indicative Country Strategy Paper for 2014-2020¹: democracy and governance; rule of law and fundamental rights; agriculture and rural development.

Following the coup attempt of 15 July 2016, our bilateral contacts with the Turkish government over the past weeks have permitted to reconfirm our joint commitment to continue our intense cooperation on all strands. Turkey is and remains a candidate country and the EU stands ready to support Turkey in coping with the current situation. It is in particular in these challenging times that the rule of law and respect for fundamental rights and freedoms need to prevail. The programme has been reassessed in that context, both in terms of relevance and possible impact on implementation of some of the agreed activities.

Democracy and governance includes a stand-alone action to continue support for the *Jean Monnet Scholarship Programme* which aims to develop Turkey's human resources in EU *acquis* by granting scholarships for related academic studies in EU Member States. Moreover, the programme includes an action to *co-finance Turkey's participation in Union programmes and agencies*. By investing in people and mobility, a third action also contributes to the Strategy Paper's objective of enhancing civil society dialogue and inter-cultural exchanges between civil societies in Turkey and Europe.

Rule of law and fundamental rights lie at the heart of the enlargement strategy. Related reform needs have also been reflected as a major priority in the Strategy Paper for Turkey, even more in the aftermath of the failed coup attempt. After having programmed support on the aspects of independence and impartiality in previous years, this programme's *Judiciary* action will aim at strengthening aspects of efficiency and administration of the judiciary as well as support to continue reforming the penitentiary system. As regards *Fundamental rights*, this year's action includes activities designed to enhance respect for fundamental rights and protection of vulnerable groups with a specific focus on women's rights, gender equality and Roma integration. In the context of the visa liberalisation dialogue *Home Affairs* continues to be one of the key areas of cooperation between Turkey and the EU, even more so under the EU-Turkey Statement of 18 March 2016. The *Home Affairs* action will support Turkey implement requirements in the fields of migration and asylum, border management and fight against organised crime.

Agriculture and rural development is a key sector in Turkey, both in social and economic terms, employing more than 20 % of the country's labour force. The IPA 2016 action will help bring Turkey into line with EU legislation under negotiation chapters 11 Agriculture and 13 Fisheries and support related improvements in institutional capacity.

The sectors of environment and climate change; transport; competitiveness and innovation; education, employment and social policies have been addressed by multi-annual sector operational programmes with separate financing decisions covering the period 2014-2016.

¹ <https://ec.europa.eu/neighbourhood-enlargement/sites/near/files/20180817-revised-indicative-strategy-paper-2014-2020-for-turkey.pdf>

- *Overview of past and on-going EU, other donors' and/or IPA II beneficiary's actions in the relevant sectors:*

Under IPA 2007-2013 IPA, Turkey has been implementing pre-accession assistance under indirect management, i.e. EU budget implementation tasks have been delegated to the Turkish authorities, with institutions set up specifically for this purpose. The total of this previous IPA assistance amounts to EUR 5.3 billion. Support for institution building – which is also at the core of this action programme – has been mainly provided through annual programming with projects focusing particularly on justice, home affairs and fundamental rights and supporting alignment with the EU *acquis* in various fields. Under IPA II similar priorities have been agreed under IPA 2014 and IPA 2015 annual action programmes while moving towards an integrated sector approach with a multi-annual planning logic.

Capacity limitations persist for the absorption of funds as reflected in implementation delays and a large contracting backlog for previously programmed actions. Consequently, besides assessments of relevance, particular attention has been given to the maturity of proposals under this programme as well as to the sequencing of interventions. Still, greater ownership by the lead institution for each sector and stronger cooperation among stakeholders will be required in order to improve efficiency and effectiveness. The large-scale dismissals in the administration after the failed coup attempt are a source of concern as regards the sustainability of administrative performance, particularly in the judiciary, which will require continued dialogue and monitoring to mitigate the risk of further regress and delays in the adoption and implementation of key reforms and strategies.

Relatively few international donors provide grant support to Turkey. However, international organisations — such as the Council of Europe, UN Women or the International Organisation for Migration (IOM) — are also active in Turkey, working on aspects such as judicial reform, fundamental rights and migration and border management. These bodies will continue to be involved in the implementation of pre-accession assistance under this annual action programme in their fields of expertise. To avoid overlapping assistance, the Commission systematically consults with and meets Member States' embassies, International Financial Institutions (IFIs) and International Organisations (IOs), especially at country level.

List of Actions foreseen under the selected Sectors/Priorities:

Democracy and governance (in million EUR)

INDIRECT MANAGEMENT WITH THE IPA II beneficiary		OTHER IMPLEMENTATION ARRANGEMENTS	
Action 1 – Jean Monnet Scholarship Programme	12.87	N/A	
Action 2 – Support to participation in Union Programmes and Agencies	86.60	N/A	
Action 3 – Civil Society	15.25	N/A	
Action 8 - Evaluation of 2014, 2015 and 2016 Turkey Annual Programs	1.045		
Action 9 - Strengthening of an integrated strategic approach to		Direct Management	2.4

increase the efficiency and productivity of IPA funds during the third period (2021-2027)			
TOTAL	115.765		2.4

Rule of law and fundamental rights (in million EUR)

INDIRECT WITH THE IPA II beneficiary	MANAGEMENT	OTHER ARRANGEMENTS	IMPLEMENTATION
Action 4 – Judiciary	22.13	N/A	
Action 5 – Fundamental Rights	5.00	N/A	
Action 6 – Home Affairs	70.055	Indirect Management with UNDP	18.55
TOTAL	97.185		18.55

Agriculture and rural development (in million EUR)

INDIRECT WITH THE IPA II beneficiary	MANAGEMENT	OTHER ARRANGEMENTS	IMPLEMENTATION
Action 7 – Support for Negotiation chapters 11 and 13	4.4	N/A	
TOTAL	4.4		

2.2 DESCRIPTION AND IMPLEMENTATION OF THE ACTIONS

SECTOR 1	<i>Democracy and Governance</i>	<i>EUR 115 765 000</i>
Action 1	<i>Jean Monnet Scholarship Programme</i>	<i>IMBC EUR 12 870 000</i>

(1) Description of the Action, objective, expected results and key performance indicators

The action's objectives are to develop Turkey's human resources in EU *acquis* related areas by granting Jean Monnet Scholarships exclusively for academic studies relevant to this purpose in EU Member States; to enhance inter-personal and inter-cultural dialogue via mobility; and to improve educational attainment via investing in people.

Expected results of the action are as follows:

- i. Information about the Jean Monnet Scholarship Programme (JMSP) ensured for all potential candidates;
- ii. Training institutions relevant to both the needs of the scholars and the programme objectives identified;

- iii. Scholarship awardees selected after a transparent and fair selection process;
- iv. At least 180 scholarships granted and all awardees placed at their respective training institutions per academic year 2018/2019 and 2019/2020;
- v. Scholarship holders completed their programmes successfully;
- vi. Jean Monnet alumni network strengthened;

The following key indicators will be considered for the performance measurement of this action:

- Number of scholars trained on EU *acquis* related programmes;
- Percentage increase of visitors at JMSP website;
- Percentage of scholarships granted to women;
- Percentage of scholarships granted to public sector employees;
- Maximum percentage of scholars per generation going to one single destination country.

(2) Assumptions and conditions

The programme is based on the condition that Turkey's accession process to the EU continues.

Assumptions are that the target groups of the scholarship programme participate actively and that relevant educational institutions and graduate programmes are available.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements for the action

(3)(a) Entity entrusted with budget implementation tasks

This action will be carried out under indirect management by the CFCU as operating structure.

(3)(b) Short description of the tasks entrusted to the entity

The entrusted entity will be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and must ensure the legality and regularity of the expenditure incurred in implementing the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs, which will liaise closely with other relevant institutions.

Action 2	<i>Support to participation in Union programmes and agencies</i>	<i>IMBC</i>	<i>EUR 86 600 000</i>
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(1) Description of the Action, objective, expected results and key performance indicators

The action's objective is to ensure participation of Turkey in Union programmes and agencies by co-financing the costs of the entry-tickets / participation fees to be paid in areas such as education and youth, research and innovation, SMEs, social policy, customs and fiscal policy, etc.

Expected results of the action are as follows:

- i. Enhanced participation of Turkey in Union programmes and agencies, including increased exchanges with EU Member States;
- ii. Strengthened ownership and responsibility of Turkey (including in financial terms) for participation in Union programmes and agencies.

The following key indicators will be considered for the performance measurement of this action:

- Number of programmes for which an International Agreement has been concluded;
- Turkey's participation rates in the different Union programmes.

(2) Assumptions and conditions

The participation of the IPA II beneficiary in Union programmes, including payment by the country of the entry ticket / participation fees, shall follow the specific terms and conditions set out for each programme in the relevant International Agreement.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements for the action: implementation will consist in the payment of the IPA part of the financial contribution to the programmes by the National Fund.

(3)(a) Entity entrusted with budget implementation tasks

This action will be carried out by the National Fund.

(3)(b) Short description of the tasks entrusted to the entity

The National Fund is responsible for the pre-accession financial assistance share of the financial contribution to be paid by the country to participate in Union programmes and agencies.

Upon entry into force of the Financing Agreement, the National Fund may request from the Commission the transfer of the full amount of pre-accession financial assistance. The Commission will time the transfer taking into account the principle of sound financial management. These funds must be used to pay the EU share of the financial contribution to be paid by Turkey to participate in Union programmes and agencies.

Turkey shall pay its total financial contribution as stipulated in the relevant international agreements signed between Turkey and the European Commission, and within the deadlines specified in those agreements. In case the Financing Agreement has not entered into force by

the deadline, IPA funds will be transferred to the National Fund on the basis of its request upon the entry into force of the Financing Agreement and shall be used to reimburse the financial contribution paid by Turkey.

IPA 2016 funds will co-finance entry tickets / participation fees for the year 2017.

Action 3	<i>Civil Society</i>	<i>IMBC</i>	<i>EUR 15 250 000</i>
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(1) Description of the Action, objective, expected results and key performance indicators

The action's objectives are to further develop an enabling environment for active citizenship and civil society capacity development; to strengthen cooperation between public sector and Civil Society Organisations (CSOs); and to strengthen the capacities of CSOs, through capacity building, networking and civil society dialogue both at national and European level.

Expected results of the action are as follows:

- i. Public institutions recognise the importance of CSOs in improving good governance through CSOs' inclusion in decision making processes;
- ii. Advocacy capacities and communication skills of CSOs are enhanced to develop policies, to engage in policy dialogue with public bodies, and to communicate the results of their activities to the public;
- iii. CSOs regularly network within and outside country borders and make use of coalition-building for increased impact in campaigning and advocacy;
- iv. Long-term partnerships and cooperation between EU and Turkish CSOs increased;
- v. Exchanges between CSOs from Turkey and the EU member states, as well as media coverage increased;
- vi. Joint cultural actions and the preservation and value of cultural heritage and diversity promoted.

The following key indicators will be considered for the performance measurement of this action:

- Number of employees and/or volunteers in CSOs;
- External perception of importance and impact of CSOs activities;
- Ratio of CSOs which use adequate argumentation and analysis for achieving advocacy goals;
- Share of CSOs taking part in local, national, regional and international networks;
- Number of CSOs from Turkey and from EU Member States involved in the Civil Society Dialogue activities;
- Number of long-term partnerships between EU and Turkish organisations.

(2) Assumptions and conditions

Turkey is committed to fully respect democratic principles as per the Copenhagen political criteria. Grant beneficiaries show willingness to develop sustainable and effective cooperation.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements for the action

(3)(a) Entity entrusted with budget implementation tasks

This action will be carried out under indirect management by the CFCU as operating structure.

(3)(b) Short description of the tasks entrusted to the entity

The entrusted entity will be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and must ensure the legality and regularity of the expenditure incurred in implementing the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs, which will liaise closely with other relevant institutions.

Action 8	<i>Evaluation of 2014, 2015 and 2016 Turkey Annual Programmes</i>	<i>IMBC</i>	<i>EUR 1 045 000</i>
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(1) Description of the Action, objective, expected results and key performance indicators

The overall objective of this Action aims to enhance the strategic linkage between planning, programming, monitoring and evaluation of the NIPAC office. Specifically it aims to improve the dissemination of the results of IPA II interventions.

Expected results of the action are as follows:

Evaluation plan consulted and agreed with the European Commission and Evaluation Reference Group

Evaluation reports and follow up action plans published on Directorate for EU Affairs (DEUA) website and printed

The following key indicators will be considered for the performance measurement of this action:

- % of planned evaluations implemented successfully and available to the public
- Number of individuals accessing the results of evaluation

(2) Assumptions and conditions

No specific conditions are required for this purpose except for the designation of members and the establishment of an Evaluation Reference Group consisting of representatives of the National IPA Coordinator (NIPAC), DEUA, EU Delegation, National Authorising Officer (NAO). Other parties/bodies may join if required. The Reference Group will endorse the scope of the evaluation proposed by the NIPAC and will steer the entire evaluation assignment.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements for the action

(3)(a) Entity entrusted with budget implementation tasks

This action will be carried out under indirect management with the beneficiary country by the CFCU as the operating structure.

(3)(b) Short description of the tasks entrusted to the entity

The main beneficiary of the Action is the NIPAC Secretariat. It will establish the Evaluation Steering Group which will include all relevant stakeholders, including the CFCU, and a EUD representative will be a part of the Steering Group. The head of the Steering Group will drive the evaluation process while technically the contract will be managed by the respective unit of the DEUA.

The Central Finance and Contracts Unit (CFCU) is the Contracting Authority of the Action. The Contracting Authority will be responsible for tendering, contracting, administration, overall Action supervision, review and final approval of the reports, financial management including payments of Action activities.

Action 9	<i>Strengthening of an integrated strategic approach to increase the efficiency and productivity of IPA funds during the third period (2021-2027)</i>	<i>DM</i>	<i>EUR 2 400 000</i>
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(1) Description of the Action, objective, expected results and key performance indicators

The overall objective of this stand-alone Action aims to further increase and consolidate administrative capacity of the Turkish administration for more effective and efficient management and absorption of IPA funds.

The specific objective of this Action is to strengthen the capacity of NIPAC and other relevant institutions in the area of; strategic planning and programming, proper and sustainable project pipeline development and prioritisation, provide support at tendering stage period of the DIS institutions, boosting the monitoring and evaluation stages covering reporting requirements, provide technical assistance to NIPAC for coordination of the Union Programmes, provide

assistance in contributing to the preparations at Programme level for Cross-border cooperation programmes.

Expected results of the action are as follows:

- i. Improved coordination at national level regarding strategic thinking and result-oriented planning and strategic programming for the introduction of the next EU financial assistance instrument and alignment with national policies/plans
- ii. Developed standards and procedures of IPA entities for project pipeline preparation and prioritisation
- iii. Tendering and implementation stage supported, also including preparation of technical and tender documents
- iv. Methodology developed for monitoring and evaluation of the performance framework
- v. Improved technical support and assistance for supervision and coordination of the Union programmes
- vi. Programme preparation process for cross-border cooperation programmes supported

The following key indicators will be considered for the performance measurement of this action:

- Number of information and consultative meetings
- New manual of procedures
- Number of Memorandum of Understandings
- Number of advisory packages/training courses/study visits/information and consultation meeting on the main components of action
- Number of advisory packages/training courses on project pipeline preparation and prioritisation
- Project pipeline and prioritisation methodology
- Number of technical and tender documents provided assistance directly
- Performance framework monitoring and evaluation policy document(s)
- The quality of the annual, sectoral and/or thematic reports is substantially increased by the end of the Action implementation period
- The Number of advisory packages/training courses/ information and consultation meeting held regarding Union Programmes
- The number of application by stakeholders to the prospective EU Programmes
- Joint Operation Programmes adopted

(2) Assumptions and conditions

The financing of the action is conditional to the adoption of the third period of the Instrument for Pre-Accession Assistance.

(3) Implementation arrangements for the action

(3)(a) Direct management

The EU Delegation to Turkey will be the Contracting Authority of the Action. The Contracting Authority will be responsible for tendering, contracting, administration, overall action supervision, review and final approval of the reports, financial management including payments of Action activities.

The Contractor will be working together with the Directorate for Financial Cooperation and Project Implementation of the General Directorate for EU Affairs.

For the purpose of this technical assistance project, the Steering Committee will be co-chaired by Directorate for Financial Cooperation and Project Implementation of the Directorate for EU Affairs in a quarterly basis. The Steering Committee will consist of representatives from the EUD, the Contractor and DEUA as member. The representatives of the Operating Structures might also be invited to the steering committee meetings.

SECTOR 2	<i>Rule of Law and Fundamental Rights</i>		<i>EUR 119 180 000</i>
Action 4	<i>Judiciary</i>	<i>IMBC</i>	<i>EUR 22 130 000</i>

(1) Description of the Action, objective, expected results and key performance indicators

The action's objective is to further strengthen efficiency and administration of the judiciary, to further increase awareness on human rights, and to continue with the reform of the penitentiary system.

Expected results of the action are as follows:

- i. Increased awareness of judiciary on anti-discrimination issues, by enhancing the training curriculum and capacity of Turkey's Justice Academy;
- ii. Enhanced awareness and competencies of judges, public prosecutors and experts regarding key issues such as gender, discrimination, domestic violence and child welfare;
- iii. Enhanced quality of service provided by Forensic Institutions such as Turkish Gendarmerie General Command Gendarmerie Forensic Department (JKDB), Turkish National Police Department of Criminal Police Laboratories (KPL), Ministry of Justice Forensic Medicine Institution;
- iv. Improved implementation of mediation and arbitration in civil cases and widespread use of conciliation and plea-agreements in criminal cases promoted;
- v. Effective notarial system which serves reducing the workload of the judiciary developed;
- vi. Effective regional and bilateral judicial cooperation in criminal matters developed, acceleration in judicial proceedings regarding cross border criminal disputes;
- vii. One special institution established where only the women staying with their children will be sheltered. Daily life and training programmes developed, suitable to the age

- group of children staying with their mothers in the penal institution, and also to children whose parents are in penal institutions;
- viii. Enhanced quality of the trainings provided in the Personnel Training Centres of Prisons and Detention Houses;
- ix. Increased institutional capacity of the Judiciary in terms of fight against drug addiction.

The following key indicators will be considered for the performance measurement of this action:

- Decrease in number of the European Court of Human Rights (ECHR) violation decisions on discrimination;
- Number of family court cases overruled by High Court (decrease);
- Number of accredited examination methods in forensic labs;
- Number of disputes settled by means of Alternative Dispute Resolution;
- Number of cases transferred from court system to notaries;
- Duration of the proceedings regarding cross border disputes in criminal matters;
- Percentage of children staying with their mothers in penal institutions with proper support model compared to total population;
- Number of inmate complaints lodged due to disproportionate use of force by the prison personnel.
- Number of trained judges, prosecutors and social workers dealing with the drug addict convicts and detainees.

(2) Assumptions and conditions

The implementation of the action requires continued commitment by the Turkish authorities, including political support to achieve the action's objective and expected results as well as sufficient and stable staffing of the beneficiary institutions.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements for the action

(3)(a) Entity entrusted with budget implementation tasks

This action will be carried out under indirect management by the CFCU as operating structure.

(3)(b) Short description of the tasks entrusted to the entity

The entrusted entity will be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and must ensure the legality and regularity of the expenditure incurred in implementing the programme.

The lead institution for programming and monitoring this action is the Ministry of Justice, which will liaise closely with other relevant institutions.

Action 5	<i>Fundamental Rights</i>	<i>IMBC</i>	<i>EUR 5 000 000</i>
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(1) Description of the Action, objective, expected results and key performance indicators

The action's objectives are to contribute to further strengthening of respect for fundamental rights and freedoms for all individuals without discrimination in line with the ECHR in both areas of gender equality and Roma rights in Turkey.

Expected results of the action are as follows:

- i. Increased institutional capacity and effectiveness of the public institutions in charge of implementing the Roma strategy and enhanced cooperation with relevant civil society organisations;
- ii. Increased capacity and effectiveness of public institutions in integrating gender sensitive policies into their strategic planning, including budgetary allocations to reflect Turkey's obligations under the Istanbul convention.

The following key indicators will be considered for the performance measurement of this action:

- Number of staff trained on social inclusion;
- Functioning monitoring and evaluation mechanism of the Roma integration strategy and related action plans in place;
- Number of strategic plans with gender sensitive components (national and municipal level).

(2) Assumptions and conditions

The implementation of the action requires continued commitment by the Turkish authorities, including political support to achieve the action's objective and expected results as well as sufficient and stable staffing of the beneficiary institutions.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements for the action

(3)(a) Entity entrusted with budget implementation tasks

This action will be carried out under indirect management by the CFCU as operating structure.

(3)(b) Short description of the tasks entrusted to the entity

The entrusted entity will be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and must ensure the legality and regularity of the expenditure incurred in implementing the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs, which will liaise closely with other relevant institutions and in particular Ministry of Family and Social Policy.

Action 6	<i>Home Affairs</i>	<i>IMBC & Indirect Management</i>	<i>EUR 88 605 000</i>
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(1) Description of the Action, objective, expected results and key performance indicators

The action's objectives are to improve migration and asylum management in line with EU standards and best practices, to improve integrated border management, and to enhance fight against organised crime.

Expected results of the action are as follows:

- i. Six removal centres equipped and in function;
- ii. Comprehensive and sustainable assisted voluntary return and reintegration system established;
- iii. Border surveillance and fight against irregular migration at Turkey's eastern borders increased;
- iv. Border surveillance equipment procured and capacity of the authority in charge of land border surveillance increased;
- v. Landmines in the Eastern Border regions of Turkey cleared and institutional capacity of the Turkish Mine Action Centre (TURMAC) on coordination of mine action strengthened;
- vi. The capacity of the Turkish National Police (TNP) and related institutions in detecting forged travel documents, passenger profiling and conducting risk analysis increased ;
- vii. Technical capacity of TNP Forensic Laboratories for drugs analyses to detect origin and chemical profiles of seized drugs increased.

The following key indicators will be considered for the performance measurement of this action:

- Number of removal centres refurbished and functioning in line with international standards;
- Number of irregular migrants benefitting from gender-sensitive Voluntary Return and Reintegration Assistance;
- Km of Turkey's border area covered by modernised surveillance at eastern borders;
- Number of surveillance cameras at south-eastern borders;
- Amount of land cleared in line with humanitarian demining rules in Ardahan, Kars, Iğdır and Ağrı (Doğubeyazıt) regions.
- Number of key experts trained in TURMAC
- Number of risk educations in villages near mine clearance operations
- Number of minefields surveyed through Non-Technical Survey (NTS) and the capacity of TURMAC on NTS increased
- Number of drug cases / samples subjected to profiling;

- Number of staff trained for detecting forged travel documents, passenger profiling;

(2) Assumptions and conditions

At the start of Activities 3 and 4, the beneficiary of the border surveillance equipment will be the Land Forces Command. In case of institutional changes, e.g. the establishment of a civilian Border Agency, a transition plan with target date will be developed, specifying when ownership of the equipment supplied under Activities 3 and 4 will be transferred to the relevant institution to be established under Ministry of Interior. This aspect will be taken into account also at tendering stage so as to purchase equipment that can be used by border guards of a future civilian agency. Beneficiaries also undertake to make utmost use of competences gained by the staff trained for sustainable know-how transfer to the new border management organisation. After delivery, the supplied equipment will be used exclusively for border surveillance. Any other use or modification of the equipment requires written authorisation by the Commission. For Activity 5 the signature of the contract between the EUD to Turkey and the UNDP is conditional to the conclusion of the agreement between the Government of Turkey and the UNDP to carry out complementary activities financed by national funding.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation modalities

(3)(a) Indirect management

(i) Indirect Management with Beneficiary Country (IMBC)

This action will be carried out under indirect management by the CFCU as operating structure, with the exception of Activity 5. The Lead Institution for programming and monitoring of this action is the Ministry of Interior, which will liaise closely with its sub-institutions and other relevant institutions.

The entrusted entity will be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and must ensure the legality and regularity of the expenditure incurred in implementing the programme.

(ii) Indirect management with UNDP

Activity 5 will be implemented under indirect management by the UNDP. This implementation entails clearance of the landmines in the Eastern Border regions of Turkey and strengthening of the institutional capacity of TURMAC on coordination of mine action.

The envisaged entity has been selected using the following criteria:

- the United Nations Development Programme (UNDP) is the United Nation’s global development network. As an international development agency, the UNDP works with the Government of Turkey to support Turkey’s development and reform agenda.
- In line with the United Nations Mine Action Strategy 2019-2023, UNDP works with local, national and international partners on linking mine action to development and achievement of the SDGs and helping mine-affected communities achieve resilience and sustainable development. UNDP’s Mine Action programmes have three major areas of

focus: 1) Translating mine action into sustainable development dividends, including human, food or community security and livelihoods; 2) Strengthening national institutions that accelerate development benefits, including food, human security, jobs and livelihoods; and 3) Supporting international normative frameworks on mine action.

UNDP will utilise the lessons learned of the Socioeconomic Development through Demining and Increasing the Border Surveillance Capacity at the Eastern Borders of Turkey Phase I and II projects and will manage the demining and capacity building activities through contribution agreement that will be signed with the EU Delegation to Turkey.

This contract will be fully financed by the EU. However, there will be a separate agreement between the Government of Turkey and UNDP, worth EUR 2 121 000, for the non-technical survey that is to be considered as co-financing by the Beneficiary Country.

SECTOR 3	<i>Agriculture and Rural Development</i>		<i>EUR 4 400 000</i>
Action 7	<i>Support for Negotiation chapters 11 and 13</i>	<i>IMBC</i>	<i>EUR 4 400 000</i>

(1) Description of the Action, objective, expected results and key performance indicators

The action's objective is to enhance the institutional capacity of Ministry of Food Agriculture and Livestock (MoFAL) regarding implementation of the requirements of relevant EU legislation in Turkey within the scope of Chapters 11 and 13.

Expected results of the action are as follows:

- i. Technical and institutional capacities of provincial directorates of MoFAL and fisheries producer organisations have been increased through implementation of a regularly reviewed and updated sector strategy (including National Action Plan) according to the dynamics of the fishing and aquaculture sectors;
- ii. Institutional capacity of the Agriculture and Rural Development Support Institution (ARDSI) improved in terms of risk management and control activities and a monitoring system for performance and risk indicators established in line with IPARD II requirements;
- iii. Full functionality of Farm Accountancy Data Network (FADN) ensured at central and provincial levels.

The following key indicators will be considered for the performance measurement of this action:

- Number of Provincial Directorate's staff and members of fishery producer organisations trained;
- Number of fishery producer organisations in the Registration System which submit the data regularly;
- Compliance of the risk management system with the relevant standards (ISO 31000 and COSO);
- Number of agricultural holdings which participated in FADN system.

(2) Assumptions and conditions

For the activity on fisheries' producer organisations, a ministerial consent is going to be signed to guarantee the participation of the Ministry's relevant personnel both at central and provincial level and to encourage active involvement of the other stakeholders like producer organisations.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements for the action

(3)(a) Entity entrusted with budget implementation tasks

This action will be carried out under indirect management by the CFCU as operating structure.

(3)(b) Short description of the tasks entrusted to the entity

The entrusted entity will be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and must ensure the legality and regularity of the expenditure incurred in implementing the programme.

The lead institution for programming and monitoring this action is the Ministry of Food Agriculture and Livestock.

3 BUDGET

3.1 INDICATIVE BUDGET TABLE - COUNTRY ACTION PROGRAMME FOR TURKEY

Indirect Management with the IPA II beneficiary					Other implementation arrangements				Summary
		EU Contribution	IPA beneficiary Co-financing II	Total expenditure	EU Contribution	IPA beneficiary Co-financing II	Total expenditure	MM	Total programme
Objective 1 – Democracy and Rule of Law	Democracy and Governance	115 765 000	124 200 167,07	239 965 167,07	2 400 000		2 400 000	IMBC	242 365 167,07
	Action 1 – Jean Monnet Scholarship Programme	12 870 000	0	12 870 000				IMBC	12 870 000
	Action 2 – Support to participation in Union Programmes and Agencies	86 600 000	122 566 167,07	209 166 167,07				IMBC	209 166 167,07
	Action 3 – Civil Society	15 250 000	1 250 000	16 500 000				IMBC	16 500 000
	Action 8 – Evaluation IPA 2014-2016	1 045 000	384 000	1 429 000				IMBC	1 429 000
	Action 9 – Capacity Building on the next EU financial assistance instruments				2 400 000	0	2 400 000	DM	2 400 000
	Rule of Law and Fundamental Rights	97 185 000	11 265 000	108 450 000	18 550 000	2 121 000	20 671 000	IMBC/IM	129 121 000
	Action 4 – Judiciary	22 130 000	420 000	22 550 000				IMBC	22 550 000
	Action 5 – Fundamental Rights	5 000 000	0	5 000 000				IMBC	5 000 000
	Action 6 – Home Affairs	70 055 000	10 845 000	80 900 000	18 550 000	2 121 000 ²	20 671 000	IMBC/DM	101 571 000
Objective 2 – Competitiveness and Growth	Agriculture and rural development	4 400 000	0	4 400 000				IMBC	4 400 000
	Action 7 - Support for Negotiation chapters 11 and 13	4 400 000	0	4 400 000				IMBC	4 400 000
	TOTALS	217 350 000	135 465 167,07	352 815 167,07	20 950 000	2 121 000	23 071 000		375 886 167,07

² EUR 2.121.000 amount of budget is national contribution and will be contracted as an agreement between UNDP and Government of Turkey

4 IMPLEMENTATION MODALITIES AND GENERAL RULES FOR PROCUREMENT AND GRANT AWARD PROCEDURES

DIRECT MANAGEMENT:

Part of this programme shall be implemented by direct management by the Commission / by the Union Delegations in accordance with article 58(1)(a) of the Financial Regulation and the corresponding provisions of its Rules of Application.

Procurement shall follow the provisions of Part Two, Title IV Chapter 3 of the Financial Regulation No 966/2012 and Part Two, Title II, Chapter 3 of its Rules of Application.

Grant award procedures shall follow the provisions of Part Two Title IV Chapter 4 of the Financial Regulation No 966/2012 and Part Two Title II Chapter 4 of its Rules of Application.

Under the Financial Regulation, Parts One and Three of the Financial Regulation and its Rules of Application shall apply to external actions except as otherwise provided in Part Two, Title IV.

The Commission may also use services and supplies under its Framework Contracts concluded following Part One of the Financial Regulation.

Twinning:

Twinning projects shall be set up in the form of a grant agreement, whereby the selected Member State administrations agree to provide the requested public sector expertise against the reimbursement of the expenses thus incurred.

The contract may in particular provide for the long-term secondment of an official assigned to provide full-time advice to the administration of the IPA II beneficiary as resident twinning advisor.

The twinning grant agreement shall be established in accordance with relevant provisions of Part Two Title IV Chapter 4 of the Financial Regulation and Part Two Title II Chapter 4 of its Rules of Application. Parts One and Three of the Financial Regulation and its Rules of Application shall apply to external actions except as otherwise provided in Part Two, Title IV.

INDIRECT MANAGEMENT:

Part of this programme shall be implemented in indirect management by Turkey in accordance with Article 58(1)(c) of the Financial Regulation and the corresponding provisions of its Rules of Application.

The general rules for procurement and grant award procedures shall be defined in the Financing Agreement and the relevant delegation agreements between the Commission and the entrusted entity implementing such action.

5 PERFORMANCE MONITORING ARRANGEMENTS

As part of its performance measurement framework, the Commission shall monitor and assess progress towards achievement of the specific objectives set out in the IPA II Regulation on the basis of pre-defined, clear, transparent measurable indicators. The progress reports referred to in Article 4 of the IPA II Regulation shall be taken as a point of reference in the assessment of the results of IPA II assistance.

The Commission will collect performance data (process, output and outcome indicators) from all sources, which will be aggregated and analysed in terms of tracking the progress versus the targets and milestones established for each of the actions of this programme, as well as the Country Strategy Paper.

In the specific context of indirect management by IPA II beneficiaries, National IPA Coordinators (NIPACs) will collect information on the performance of the actions and programmes (process, output and outcome indicators) and coordinate the collection and production of indicators coming from national sources.

The overall progress will be monitored through the following means: a) Result Orientated Monitoring (ROM) system; b) IPA II Beneficiaries' own monitoring; c) self-monitoring performed by the EU Delegations; d) joint monitoring by DG Neighbourhood and Enlargement Negotiations and the IPA II Beneficiaries, whereby the compliance, coherence, effectiveness, efficiency and coordination in implementation of financial assistance will be regularly monitored by an IPA II Monitoring committee, supported by Sectoral Monitoring committees, which will ensure a monitoring process at sector level.