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COMMISSION IMPLEMENTING DECISION

of 17.12.2014

adopting a Country Action Programme for Turkey for the year 2014

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THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Regulation (EU) No 236/2014 of the European Parliament and of the Council of 11 March 2014 laying down common rules and procedures for the implementation of the Union's instruments for financing external action¹ and in particular Article 2(1) thereof,

Having regard to Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002² and in particular Article 84(2) thereof,

Whereas:

- (1) Regulation (EU) No 231/2014³ lays down the objectives and main principles for pre-accession assistance to beneficiaries listed in Annex I to that Regulation.
- (2) In accordance with Article 7 of Regulation (EU) No 231/2014 the assistance should be implemented through annual or multi-annual, country-specific or multi-country programmes. These programmes should be drawn up in accordance with the framework for assistance referred to in Article 4 of Regulation (EU) No 231/2014 and the relevant country or multi-country indicative strategy papers referred to in Article 6 of that Regulation.
- (3) The Council established an Accession Partnership or a European Partnership for all beneficiaries listed in Annex I of Regulation (EU) No 231/2014. The Commission adopted an indicative strategy paper for Turkey for 2014 - 2020 on 26 August 2014 which provides indicative allocations for the sectors for pre-accession assistance.⁴
- (4) Considering the proposals for action submitted by the beneficiaries concerned, the country Action Programme for Turkey for 2014 aims at providing assistance for actions in the following sectors: democracy and governance, including the sub-sector civil society, rule of law and fundamental rights, including the sub-sectors judiciary,

¹ OJ L 77, 15.03.2014, p. 95

² OJ L 298, 26.10.2012, p.1

³ Regulation (EU) No 231/2014 of the European Parliament and of the Council of 11 March 2014 establishing an Instrument for Pre-accession Assistance (OJ L 77, 15.03.2014, p. 11).

⁴ C(2014) 5998 final of 26.08.2014

fundamental rights and home affairs as well as in the sectors energy and agriculture and rural development.

- (5) It is necessary to adopt a financing decision, the detailed rules of which are set out in Article 94 of Commission Delegated Regulation (EU) No 1268/2012⁵.
- (6) The Commission should be able to entrust budget implementation tasks under indirect management to the IPA II beneficiary specified in this Decision, subject to the conclusion of a Financing Agreement. In accordance with Article 60(1) and (2) of Regulation (EU, Euratom) No 966/2012 and the first sub-paragraph of Article 14(3) of the Commission Implementing Regulation (EU) No 447/2014, the authorising officer responsible needs to ensure that the entrusted entity guarantees a level of protection of the financial interests of the Union equivalent to that required under Regulation (EU, Euratom) No 966/2012 when the Commission manages Union funds.

The entrusted entity is currently undergoing a complementary assessment of its systems and procedures. In anticipation of the results of this review, the authorising officer responsible deems that, based on the entity's positive assessment under Council Regulation (EC, Euratom) No 1605/2002⁶ and Commission Regulation (EC) No 718/2007⁷ and the entity's present compliance with the requirements of points (a) to (d) of Article 60(2) of Regulation (EU, Euratom) No 966/2012, budget implementation tasks can be entrusted to this entity. In accordance with Article 60(1)(c) of Regulation (EC, Euratom) No 966/2012, the authorising officer responsible needs to ensure that measures are taken to supervise and support the implementation of the entrusted tasks. A description of these measures and the entrusted tasks are laid down in the Annex to this Decision.

- (7) The Commission should be able to entrust budget-implementation tasks under indirect management to the entities specified in this Decision, subject to the conclusion of a delegation agreement. In accordance with Article 60(1) and (2) of Regulation (EU, Euratom) No 966/2012, the authorising officer responsible needs to ensure that these entities guarantee a level of protection of the financial interests of the Union equivalent to that required under Regulation (EU, Euratom) No 966/2012 when the Commission manages Union funds.
- (8) The United Nations High Commissioner for Refugees (UNHCR), the International Organisation for Migration (IOM) and the United Nations Development Programme (UNDP) are currently undergoing the assessment under Regulation (EU, Euratom) No 966/2012. In anticipation of the results of this review, the authorising officer responsible deems that based on the entities' positive assessment under Regulation (EU, Euratom) No 1605/2002 and on the long-standing and problem-free cooperation with them, budget-implementation tasks can be entrusted to these entities.

⁵ Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p. 1).

⁶ Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities (OJ L 248, 16.9.2002, p.1).

⁷ Commission Regulation (EC) No 718/2007 of 12 June 2007 implementing Council Regulation (EC) No 1085/2006 establishing an instrument for pre-accession assistance (IPA) (OJ L 170, 29.6.2007, p.1)

- (9) It is appropriate to authorise the award of grants without a call for proposals to the bodies identified in the Annex and for the reasons provided therein.
- (10) Delegated Regulation (EU) No 1268/2012 contains detailed rules regarding lump sums, unit costs and flat-rate financing.
- (11) Given the nature of the supported activities, and in order to simplify the management of the grants expected under the programme, it is necessary to authorise the use of lump sums, reimbursement on the basis of unit costs and flat-rate financing.
- (12) The maximum contribution of the European Union set by this Decision should cover any possible claims for interest due for late payment on the basis of Article 92 of Regulation (EU, Euratom) No 966/2012 and Article 111(4) of Delegated Regulation (EU) No 1268/2012.
- (13) Pursuant to Article 94(4) of Delegated Regulation (EU) No 1268/2012, the Commission should define changes to this Decision which are not substantial in order to ensure that any such changes can be adopted by the authorising officer responsible.
- (14) The action programme provided for by this Decision is in accordance with the opinion of the IPA II Committee set up by Article 13 of Regulation (EU) No 231/2014,

HAS DECIDED AS FOLLOWS:

Article 1

Adoption of the programme

The Country Action Programme for Turkey for the year 2014 under the Instrument for Pre-accession Assistance (IPA II) as set out in the Annex, is hereby approved.

Article 2

Financial contribution

The maximum amount of the European Union contribution for the implementation of the programme referred to in Article 1 is set at 366 040 000 and shall be financed as follows::

EUR 349 959 624 from the budget line 22.02.03.01 of the general budget of the EU for year 2014.

EUR 16 080 376 from the budget line 22.02.03.02 of the general budget of the EU for year 2014.

The financial contributions referred to in the first sub-paragraph may also cover interest due for late payment.

Article 3

Implementation modalities

This programme shall be implemented by direct and indirect management.

The budget implementation tasks under indirect management may be entrusted to the entities identified in the Annex subject to the conclusion of the relevant agreements.

A Financing Agreement shall be concluded between the Commission and the Government of Turkey in conformity with the Framework Agreement concluded between the same parties.

Article 4

Grants without a call for proposals

Grants may be awarded without a call for proposals to the bodies identified in the Annex, in accordance with the conditions specified therein.

Article 5

Authorisation of simplified forms of grants

The Commission authorises:

The use of grants in the form of lump sums, reimbursement on the basis of unit costs and flat-rate financing for reimbursement of eligible costs declared by beneficiaries on the basis of lump sums, unit costs and flat-rate financing is authorised for all the actions under this Programme, for the reasons and under the conditions set out in the Annex.

Article 6

Non-substantial changes

The following changes shall not be considered substantial provided that they do not significantly affect the nature and objectives of the actions:

- (a) increases or decreases for not more than 20% of the maximum contribution set in the first paragraph of Article 2, and not exceeding EUR 10 million;
- (b) cumulated reassignments of funds between specific actions not exceeding 20% of the maximum contribution set in the first paragraph of Article 2;
- (c) extensions of the implementation and closure period;

- (d) within the limits of 20% referred to in points (a) and (b) above, up to 5 % of the contribution referred to in the first paragraph of Article 2 of this financing decision may serve to finance actions which were not foreseeable at the time the present financing decision was adopted, provided that those actions are necessary to implement the objectives and the results set out in the programme.

The authorising officer responsible may adopt such non-substantial changes in accordance with the principles of sound financial management and proportionality.

Done at Brussels, 17.12.2014

For the Commission
Johannes HAHN
Member of the Commission

ANNEX 1

ANNUAL ACTION PROGRAMME FOR TURKEY FOR THE YEAR 2014

1 IDENTIFICATION

Beneficiary	Turkey
CRIS/ABAC Commitment references	2014/031-874
Total cost	EUR 623 632 748
EU Contribution	EUR 349 959 624
Budget line	22.02 03 01
CRIS/ABAC Commitment references	2014/037-708
Total cost	EUR 19 387 168
EU Contribution	EUR 16 080 376
Budget line	22.02 03 02
Total EU Contribution for both budget lines	EUR 366 040 000
Management Mode/ Entrusted entities	<p>Indirect management with Turkey: The Operating Structure responsible for the execution of the actions is the Central Finance and Contracts Unit (CFCU) at the Under-Secretariat of the Treasury.</p> <p>In case of Action 3 "Support to Participation in Union programmes and agencies", implementation will consist in the payment of the IPA part of the financial contribution to the programmes by the National Fund.</p> <p>Direct management by the European Commission: under Action 7 (Fundamental Rights) the activity "Strengthening the Civilian Oversight of Internal Security Forces" with the United Nations Development Programme (UNDP);</p> <p>Activities under Actions 8 (Home Affairs): Indirect management by the entrusted entities listed below:</p> <ul style="list-style-type: none"> • International Organization for Migration (IOM) for activity on Assisted Voluntary Return and Reintegration; • United Nations High Commissioner for Refugees (UNHCR), on activity on provision of educational services for Syrian refugees under

	temporary protection
Final date for concluding <u>Financing Agreements</u> with the IPA II beneficiary	At the latest by 31 December 2015
Final date for concluding <u>delegation agreements</u> under indirect management	At the latest by 31 December 2015
Final date for concluding <u>procurement and grant contracts</u>	3 years following the date of conclusion of the Financing agreement, with the exception of the cases listed under Article 189(2) Financial Regulation.
Final date for operational implementation	6 years following the date of conclusion of the Financing agreement.
Final date for implementing the Financing Agreement (date by which this programme should be de-committed and closed)	12 years following the conclusion of the Financing agreement.
Programming Unit	ELARG B.3 – Turkey unit
Implementing Unit/ EU Delegation	EU Delegation to Turkey (Ankara)

2 DESCRIPTION OF THE ACTION PROGRAMME

2.1 SECTORS SELECTED UNDER THIS ACTION PROGRAMME

- Rationale for the selection of the specific sectors under this programme:

With the aim to concentrate IPA II financial assistance on a limited number of key priorities, and taking into account that sectors on environment and climate change, transport, competitiveness and innovation, education, employment and social policies are covered through multi-annual sector operational programmes with separate financing decisions, the proposed annual action programme covers four sectors identified in the indicative country strategy paper for 2014-2020 (CSP): Democracy and governance; rule of law and fundamental rights; energy; agriculture and rural development.

Democracy and Governance includes a specific action on *civil society*, reflecting the importance the Commission gives to fostering effective pluralism in Turkey which implies respect for fundamental rights and freedoms, the rule of law and the possibility of social and political change. In this regard, the *civil society* action under this programme focuses on crucial aspects such as civil society development, increased cooperation between civil society and public institutions and civil society dialogue between Turkey and the EU.

In order to strengthen EU-Turkey relations and the country's integration with the EU, including at the level of citizens, opportunities for exchange and participation play an important role. Therefore, the action *Support to participation in Union programmes and Agencies* aims at sustaining Turkey's involvement in various EU programmes. The action *Town twinning between Turkey and the EU* will help to foster contacts as well as knowledge transfer about the EU *acquis*. Stronger involvement of local authorities is particularly relevant in the context of ongoing local administration reform. In a similar vein, the action *Jean Monnet scholarship programme* helps develop Turkey's human resource pool of public servants and future decision makers on topics relevant to accession preparations. Finally, to advance the introduction of EU standards in the country, the action *Regulatory reform and acquis alignment* will provide assistance for institution building activities linked to the *acquis* and negotiation chapter benchmarks.

Rule of law and fundamental rights lie at the heart of the Enlargement strategy. Related reform needs have also been identified as major priority in the Strategy paper for Turkey. Support in the action *Judiciary* will aim at strengthening the independence, impartiality, efficiency and administration of the judiciary. As regards *Fundamental rights*, the action includes activities to key institutions, contributing to further strengthening the respect for fundamental rights and freedoms for all individuals without discrimination. *Home affairs* is one of the key areas of cooperation between Turkey and the EU, even more after the entry into force of the EU-Turkey readmission agreement and launch of the visa liberalisation roadmap at the end of 2013. The Home affairs action will provide support to Turkey for implementing related requirements.

As regards the **energy** sector, Turkey has one of the fastest growing demands for energy in Europe. It needs to develop domestic energy supplies and increase energy efficiency so as to decrease its reliance on energy imports and meet the needs of its growing economy. At the same time Turkey is a key partner in increasing the EU's energy supply security and has the potential to become a reliable transit country. In view of increasing

the inter-connectivity with European networks, the action under this sector focuses on improving the reliability, efficiency and operational performance of the Turkish electricity transmission system.

Agriculture and rural development is a key sector in Turkey, both in social and economic terms, employing still more than 20% of the country's labour force. The IPA 2014 Action under this sector supports Turkey in alignment with relevant EU legislation and in increasing relevant institutional capacity.

- Overview of past and on-going EU, other donors' and/or IPA II beneficiary's actions in the relevant sectors:

Under the IPA instrument 2007-2013, Turkey has been implementing pre-accession assistance under indirect management (previously called decentralised management), i.e. EU budget implementation tasks have been delegated to the Turkish authorities, with institutions set up specifically for this purpose. The total of this previous IPA assistance amounts to EUR 4.7 billion. Support for institution building – which is also at the core of this action programme – has been mainly provided through annual programming with projects, particularly focusing on justice, home affairs and fundamental rights and supporting various fields for aligning with the EU *acquis*.

Turkey needs, however, to strengthen its capacity to absorb funds, achieve results and implement EU financial assistance in a timely manner. Given the backlog in implementing previously programmed actions, attention needs to be paid to ensuring complementarity and proper sequencing of activities. The introduction of more strategically planned multi-annual sector approaches under IPA II addresses this issue. Still, greater ownership by the lead institution for each sector and stronger cooperation among stakeholders will be required in order to improve efficiency and effectiveness¹.

Relatively few international donors provide grant support to Turkey. However, international financial institutions (IFIs) are very active with loan programmes. The Council of Europe, several UN agencies and other specialised international organisations (IOs – e.g. the International Organisation for Migration) are also active in Turkey, working on topics such as judicial reform, fundamental rights and migration and border management. Several of these institutions will continue to be involved in the implementation of pre-accession assistance under this Action Programme in their fields of expertise. To avoid overlapping assistance, the Commission systematically consults and meets Member States embassies, IFIs and IOs, especially at country level.

List of Actions foreseen under the selected Sectors:

Democracy and Governance

INDIRECT MANAGEMENT WITH THE IPA II beneficiary		OTHER IMPLEMENTATION ARRANGEMENTS	
Action 1 - <i>Town Twinning between and Turkey and the EU</i>	EUR 4.05 million	n.a.	
Action 2 - <i>Regulatory Reform and</i>	EUR 32.40 m	n.a.	

¹ Recommendation from the study "Mapping Sector strategy", contract IPIA 2013/318972, commissioned by DG Enlargement.

<i>Acquis Alignment</i>			
<i>Action 3 - Support to participation in Union Programmes and Agencies</i>	EUR 167.30 m		n.a.
<i>Action 4 - Civil Society</i>	EUR 20.19 m		n.a.
<i>Action 5 - Jean Monnet Scholarship Programme</i>	EUR 14.46 m		n.a.
TOTAL	EUR 238.40 m		TOTAL

Rule of Law and Fundamental Rights

INDIRECT MANAGEMENT WITH THE IPA II beneficiary		OTHER IMPLEMENTATION ARRANGEMENTS	
<i>Action 6 - Judiciary</i>	EUR 28.66 million		n.a.
<i>Action 7 - Fundamental Rights</i>	EUR 8.23 m	Direct Management for direct grant award with UNDP	EUR 5.40 m
<i>Action 8 - Home Affairs</i>	EUR 53.99 m	Two Delegation Agreements with IOM and UNHCR	EUR 15.27 m
TOTAL	EUR 90.88 m	TOTAL	EUR 20.67 m

Energy

INDIRECT MANAGEMENT WITH THE IPA II beneficiary		OTHER IMPLEMENTATION ARRANGEMENTS	
<i>Action - 9 Energy</i>	EUR 13.09 million		n.a.
TOTAL	13.09 m	TOTAL	

Agriculture and Rural Development

INDIRECT MANAGEMENT WITH THE IPA II beneficiary		OTHER IMPLEMENTATION ARRANGEMENTS	
<i>Action 10 - Agriculture and Rural Development</i>	EUR 2.99 million		n.a.
TOTAL	EUR 2.99 m	TOTAL	

DESCRIPTION AND IMPLEMENTATION OF THE ACTIONS

SECTOR 1	<i>Democracy and Governance</i>	<i>EUR 238 404 624</i>
Action 1	<i>Town Twinning between Turkey and the EU</i>	<i>EUR 4 050 000</i>

(1) Description of the Action, objective, expected results and key performance indicators

Town twinnings provide an opportunity for facilitating local authorities' involvement in the EU *acquis* alignment process while learning from good practices in EU Member States. On the other hand, town twinnings can set a framework for creating people to people exchanges and thereby involve citizens and civil society.

The objective of the action is to create sustainable structures for exchange between local administrations in Turkey and EU Member States in areas relevant for EU accession

Expected results of this action are:

- The capacity of key institutions for town twinnings with the EU (Ministry of EU Affairs, Union of Municipalities, Union of Provincial Services and Ministry of Interior) will be improved, and a road-map will be published to give guidance for further structural improvements;
- Relations with key partners in the EU and Turkey for town twinning will be sustained, including through strengthened networking structures;
- The capacity of Turkish local authorities will be improved in areas relevant for EU accession and best practices will be disseminated;
- The number of town twinnings involving Turkish local administrations will increase, their effectiveness will be sustained through effective promotion and involvement of key stakeholders such as civil society and citizens at local level.

Key indicators that will be considered for the performance measurement of this action will be:

- Progress achieved in meeting the political criteria related to government at level of local administration;
- Number of local authorities actively involved in EU-related activities of Union of Municipalities;
- Number of official town twinning agreements between local authorities in Turkey and in EU countries;
- Number of Turkish local authorities that have institutional contact point for EU affairs or for town twinning with the EU.

Moreover, and as specified in the specific action document on town twinning, additional outputs will be measured through specific indicators with targets by 2017 and 2020.

(2) Assumptions and conditions

National co-financing of EUR 450 000 (10% of total cost) will be provided to achieve the above mentioned results.

For successful implementation of the action, it is assumed that there will be sufficient interest by municipalities in EU Member States to participate in town twinning activities with Turkish counter-parts. Moreover, for sustainability of the action it will be essential to guarantee active involvement of the Union of Municipalities.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action: indirect management with Turkey

(3)(a) Short description of the tasks entrusted to the entity

This action will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs that will closely coordinate with the Union of Municipalities, the Union of Provincial Services, Ministry of Interior as well as other relevant institutions.

Action 2	<i>Regulatory Reform and Acquis Alignment</i>	<i>EUR 32 400 000</i>
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(1) Description of the Action, objective, expected results and key performance indicators

Assistance will be provided for institution building activities linked to the *acquis* and negotiation chapter benchmarks (UNIBE European Integration Facility) as well as preparing mature funding proposals in the context of a "Project Preparation Facility (PPF).

The "Project Preparation Facility" will serve to strengthen the ability of the relevant institutions (potential beneficiaries) to design and appraise programmes and actions to be programmed in the framework of the pre-accession financial assistance to Turkey and to help beneficiary institutions in the assessment tasks regarding grant-schemes.

The "UNIBE European Integration Facility" is needed to respond in a demand driven manner to requests coming from the Turkish public administration in form of technical assistance in the field of *acquis* alignment.

The objective of the action is to support institution building activities regarding the EU *acquis* and negotiation chapters, economic criteria, public administration reform and regulatory reform.

Expected results of this action are:

- The absorption of programmed EU funds will have improved combined with an increased efficiency during implementation;
- The alignment with EU *acquis* and the implementation capacity in relevant fields will have increased.

Key indicators that will be considered for the performance measurement of this action will be:

- Number of updated legislative acts and regulatory frameworks in place in line with EU *acquis*;
- % of IPA II-funded supply and works contracts for which PPF has been used.

Moreover, and as specified in the specific action document on regulatory reform and *acquis* alignment, additional outputs will be measured through specific indicators with targets by 2017 and 2020.

(2) Assumptions and conditions

National co-financing of EUR 3 600 000 (10% of total cost) will be provided to achieve the above mentioned results.

Failure to comply with the requirement set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action: indirect management with Turkey

(3)(a) Short description of the tasks entrusted to the entity

The activities under this action will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs that will closely coordinate with other relevant institutions.

Action 3	<i>Support to participation in Union programmes and agencies</i>	<i>EUR 167 304 624</i>
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(1) Description of the action, objective, expected results and key performance indicators

Turkey has participated in different Union Programmes and Agencies under the previous IPA framework, and participation in the new generation of programmes is foreseen for: Erasmus+, Horizon 2020, Creative Europe, COSME, Customs, Fiscalis and the European Environmental Agency. Turkey might decide to also participate in additional programmes. The action will co-finance Turkey's participation fees for the different programmes.

The objective of the action is to ensure Turkey's participation in Union programmes and agencies by co-financing the costs of the entry-tickets/participation.

Expected results of this action are:

- Enhanced participation of Turkey in Union programmes and agencies, including increased exchanges with EU Member States;
- Strengthened ownership and responsibility of Turkey (including in financial terms) for participation in Union programmes and agencies.

Key indicators that will be considered for the performance measurement of this action will be:

- Number of programmes for which an international agreement has been concluded;
- Turkey's participation rates in the different Union programmes;
- Number of successful applications to the Union programmes.

(2) Assumptions and conditions

Commitment of Turkey for EU accession continues.

Government institutions are fully committed to the development and implementation of policies and institutional changes required to deliver the action's results.

National co-financing of EUR 250,483,124 (59.95% of total cost) will be provided to achieve the above mentioned results.

Failure to comply with the requirement set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action: implementation will consist in the payment of the IPA part of the financial contribution to the programmes by the National Fund.

(3)(a) Short description of the tasks entrusted to the entity

The National Fund is responsible for the pre-accession financial assistance share of the financial contribution to be paid by the country to participate in Union programmes and Agencies.

Upon signature of the financing agreement, the National Fund will request from the Commission the transfer of the full amount of pre-accession financial assistance. These funds must be used to pay the EU share of the financial contribution to be paid by Turkey to participate in Union programmes and agencies.

Turkey shall pay its total financial contribution as stipulated in the relevant international agreements signed between Turkey and the European Commission, and within the deadlines specified in those agreements. In case the Financing Agreement is not signed by the deadline, IPA funds will be transferred later to the National Fund on the basis of its request (upon signature of the financing agreement) and shall be used to reimburse the financial contribution paid by Turkey.

IPA funds of 2014 year are front-loaded and it will co-finance entry tickets fees for the years 2015 and 2016. Therefore, in the Annual Programme for Turkey for 2015 there will no longer be such a support.

Action 4	<i>(Sub-sector) civil society</i>	<i>EUR 20 190 000</i>
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(1) Description of the action, objective, expected results and key performance indicators

The action aims at improving the legislative environment for active citizenship, strengthening cooperation between public sector and civil society organisations (CSOs); enhancing civil society dialogue and intercultural exchange between civil societies in Turkey and Europe.

Expected results of the activities under this action are:

- Transparent and participatory policy making including CSOs as fundamental and legitimate partners has been introduced in public administration practice;
- Participatory capacity to decision-making processes and advocacy skills of CSOs strengthened;
- Capacities of public institutions in implementing participatory tools and mechanisms for effective CSO participation to policy improved;
- Joint cultural actions and the preservation and promotion of cultural heritage and diversity promoted;

Key indicators that will be considered for the performance measurement of this action will be:

- Number of local, regional and national level participation mechanisms established by public institutions or jointly established by public authorities and CSOs including networks, platforms and joint civic initiatives;
- Number of CSO networks and platforms active in Turkey;
- Number of draft/published legal/strategic documents utilising the code of conduct;
- Number of cultural institutes involved in EU-Turkey civil society dialogue;
- Number of exchanges between professionals, academics in the field of archaeology and cultural heritage.

Moreover, and as specified in the specific action document for the sub-sector civil society, the outputs of each of the four activities will be measured through specific indicators with targets by 2017 and 2020.

(2) Assumptions and conditions

Government institutions are fully committed to the development and implementation of policies and institutional changes required to deliver the action's results.

National co-financing of EUR 3 160 000 (13.53 % of total cost) will be provided to achieve the above mentioned results.

Failure to comply with the requirement set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action: indirect management with Turkey
(3)(a) Short description of the tasks entrusted to the entity

The activities under this action will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs that will closely coordinate with relevant civil society organisations and cultural institutes as well as with Ministry of Culture and other relevant institutions.

Action 5	Jean Monnet scholarship programme	EUR 14 460 000
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(1) Description of the action, objective, expected results and key performance indicators

The Jean Monnet Scholarship Programme (JMSP) provides civil servants, graduate students and private sector employees with the opportunity for EU *acquis*-related academic studies at universities or equivalent institutions in EU Member States, thus developing a pool of EU-minded professionals, developing skills needed in support of Turkey's accession, and strengthening inter-personal contacts and dialogue between Turkey and the EU. This action covers two generations of scholarships starting in the academic years 2016-2017 and 2017-2018.

The objective of this action is to develop Turkey's human resources in EU *acquis* related areas and support the dialogue between young Turkish professionals and their EU counterparts.

Expected results of this action are:

- Access to information about the Jean Monnet scholarship programme for all potential candidates ensured;
- Training institutions relevant to both the needs of the scholars and the programme objectives identified;
- Scholarship awardees selected after a transparent and fair selection process;
- 2x170 scholarships granted and all awardees placed at their respective training institutions for scholarships starting in academic years 2016-17 and 2017-2018;
- Scholarship holders completed their programmes successfully;
- Jean Monnet alumni network strengthened.

Key performance indicators:

- % of scholars employed on EU *acquis* related jobs upon their return;
- % of scholarships for civil servants;
- % scholars from cities other than Istanbul and Ankara;
- % of scholars in destination countries other than UK.

(2) Assumptions and conditions

N.a.

(3) Implementation arrangements of the action: indirect management with Turkey

(3) (a) Short description of the tasks entrusted to the entity

This action will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs that will closely coordinate with other relevant institutions.

SECTOR 2	<i>Rule of law and fundamental rights</i>	<i>EUR 111 555 000</i>
Action 6	<i>(Sub-sector) Judiciary</i>	<i>EUR 28 657 500</i>

(1) Description of the action, objective, expected results and key performance indicators

The action aims at further strengthening and making more concrete and visible the independence, impartiality, efficiency and administration of the judiciary as well as continuing with the reform of the penitentiary system.

Expected results of the activities under this action are:

Under *strengthening independence, impartiality and accountability of judiciary* (one activity):

- More transparent, fair inspection system of judges & prosecutors developed.

Under *professionalism and competence of the judiciary* (one activity):

- Effectiveness of auxiliary staff supporting the judges and prosecutors increased.

Under *improving efficiency and effectiveness of the judiciary* (six activities):

- Effective and accurate investigation system developed through improving and standardizing the investigation techniques used by prosecutors;

- An improved judicial notification system established;
- Credible and accurate judicial statistics system established;
- The institutional capacity of enforcement offices strengthened;
- Legal and institutional structure for victims established;
- Effectiveness of the administrative judiciary increased.

Under *reform of the penitentiary* (2 activities):

- An offender assessment system established for conditional release implementation;
- Prison staff performing in line with European standards;
- A standardized discipline and reward regime and efficient civil monitoring system established;

Key indicators that will be considered for the performance measurement of this action will be:

- Level of progress achieved towards meeting accession criteria;
- Number of violation judgements by the European Court of Human Rights ECHR;
- Improvement of clearance rate of backlog in courts through reduction in number of pending cases and duration of proceedings.

Moreover, and as specified in the specific action document for the sub-sector judiciary, the outputs of the activities will be measured through specific indicators with targets by 2017 and 2020.

(2) Assumptions and conditions

Commitment of Turkey for EU accession continues.

Government institutions are fully committed to the development and implementation of policies and institutional changes required to deliver the action's results.

National co-financing of EUR 2 602 500 (8.33 % of total cost) will be provided to achieve the above mentioned results.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action: indirect management with Turkey

(3)(a) Short description of the tasks entrusted to the entity

The activities under this action will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of Justice that will closely coordinate with High Council of Judges and Prosecutors, the Justice Academy, High Courts, Turkish Bar Association as well as other institutions.

Action 7	(Sub-sector) Fundamental rights	EUR 13 625 000
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(1) Description of the action, objective, expected results and key performance indicators

The action aims at contributing to further strengthening of respect for fundamental rights and freedoms for all individuals without discrimination in line with the ECHR and the case law of the ECtHR.

Expected results of the activities under this action are:

- i. The capacity of Parliament in protecting and promoting Human Rights is strengthened;
- ii. The institutional capacities of Chief Civil Administrators about Crowd Control and the Civil Inspectors about Effective Investigation are strengthened;
- iii. The institutional capacity of Bar Associations and Lawyers on European Human Rights Standards is strengthened;
- iv. The civilian oversight of Internal Security forces is enhanced in coordination with the Ministry of Interior General Directorate of Provincial Administration.
- v. The institutional capacity of National Human Rights Institution of Turkey is strengthened;

Key indicators that will be considered for the performance measurement of this action will be:

- Progress made on fundamental rights and freedoms, as assessed by the EC progress reports;
- Number of complaints regarding human rights violations in places such as prisons, police custody, court cells, customs custody facilities, children's secure accommodation, immigration, military and secure mental health facilities etc. where National Human Rights Institution is responsible as National Preventive Mechanism;
- Assessment of Civil Society Organisations regarding functioning of National Human Rights Institution and new laws;
- Number of applications to Constitutional Court and European Court of Human Rights.

Moreover, and as specified in the specific action document for the sub-sector fundamental rights, the outputs of the activities will be measured through specific indicators with targets by 2017 and 2020.

(2) Assumptions and conditions

Commitment of Turkey for EU accession and meeting the political criteria and *acquis* chapter 23 continues.

Government institutions are fully committed to the development and implementation of policies as well as developing their capacity to deliver the action's results.

National co-financing of EUR 1 375 000 (9.1 % of total cost) will be provided to achieve the above mentioned results.

Failure to comply with the requirement set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action:

The activities leading to results (i), (ii), (iii) and (v) will be managed under indirect management with Turkey

(4) Short description of the tasks entrusted to the entity:

These activities will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of EU Affairs that will closely coordinate with Ministry of Justice as the responsible institution for monitoring the implementation of the Action Plan on the Prevention of Violations of European Convention on Human Rights together with the involvement of other key stakeholders such as Grand National Assembly of Turkey, Ministry of Interior (including the General Directorate of Provincial Administration), Union of Turkish Bar Associations, National Human Rights Institution of Turkey as well as other institutions.

For activity leading to result iv. on Civilian Oversight of Internal Security Forces (EU contribution: EUR 5 400 000): Direct management for direct grant award with UNDP

Essential elements of the action (for direct management)

Grant - Direct grant award (EUR 5 400 000):

a) Objectives and foreseen results:

This activity aims to reinforce the grounds and promote an enabling environment for the institutionalization of the civilian oversight of the internal security, with a focus on inclusion of a citizen-focused participatory planning and implementation practices into the public administration system.

The following results are foreseen for this activity:

1. Police and Gendarmerie basic laws, in the light of civilian oversight and accountability principles revised;

2. The performance evaluation system which improves the control system of the Ministry of Interior over internal security forces of improved;
3. A preliminary draft of the legal framework on the institutionalization of the Local Prevention and Security Councils and "National Crime Prevention Office" prepared;
4. The assessment of basic parliamentary oversight in Turkey updated;
5. Based on the findings of the comparative analysis, so as to enable the systematic oversight of internal security forces a modeling study in order to propose amendments in the structure of the Commissions in the Parliament done;
6. An organizational strategy regarding the preparation of crime prevention and security plans at the national level developed;
7. A five-year organizational strategy for the oversight of internal security forces in Turkey designed;
8. On the basis of relevant legal regulations made across the country Local Prevention and Security Councils established;
9. For the effective functioning of the institutionalization of Local Prevention and Security Councils a strategy and implementation plan prepared;
10. For public officials assigned in Local Prevention and Security Councils a training module prepared and periodically updated;
11. Awareness raising programs for civil elements for citizens developed and implemented periodically;
12. Training programs implemented by the Ministry of Interior for provincial governors and sub-governors evaluated from the perspective of civilian oversight and updated;
13. Recommendations as regards the establishment of a common internal security forces academy prepared and presented to the authorities;

Justification for the use of an exception to calls for proposals

UNDP was chosen as beneficiary of the direct grant award for this activity because of its highly specialized technical competence on civilian oversight reform in accordance with art. 190 of the Financial Regulation's Rules of Application and due to its previous experience through phase I (completed) and a phase II of the project (on-going until December 2014). Implementation of the proposed activity under this programme through a direct grant award with UNDP under Direct Management will therefore allow for continuity and sustainability of results achieved with previous interventions, by providing training, capacity building and policy advisory assistance to the Ministry through UNDP's network of experts.

The essential selection criteria are financial and operational capacity of the applicant. The award criteria are relevance, effectiveness and feasibility, sustainability and cost-effectiveness of the action.

Indicative amount of the grant: EUR 5 400 000

Maximum rate of EU co-financing:

The maximum possible rate of EU co-financing will be 100 % of the total cost of the action in accordance with article 192 of the Financial Regulation if full funding is essential for the action to be carried out. The full funding for the action will be justified by the responsible authorising officer in the award decision, in respect of the principles of equal treatment and sound financial management.

Indicative date for signing the grant agreement: Completion of Phase II will determine the nature and scope of the activities in phase in order to ensure a meaningful continuation without duplication.

Action 8	(Sub-sector) Home affairs	EUR 69 272 500
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(1) Description of the action, objective, expected results and key performance indicators

The action aims at improving the administrative infrastructures in the field of migration management in line with the Law on Foreigners and International Protection and the requirements of the EU-Turkey readmission agreement; as well as supporting border security and surveillance through modernization of border surveillance system and enhanced inter-agency collaboration in line with EU's Integrated Border Management (IBM) policies and strategies.

Expected results of the activities under this action are:

Under *migration and asylum*:

- i. Enhanced removal capacity for irregular migrants in line with EU best practices and international standards;
- ii. Capacity for nationality identification of migrants is improved, , incl. through piloting a language analysis system and purchase of relevant technical equipment;
- iii. Comprehensive and sustainable practice for voluntary return and reintegration assistance is established;
- iv. Capacity of CSOs working on migration and international protection and their cooperation with EU counter-parts and public institutions (incl. in decision making) has been improved;
- v. Awareness of key stakeholders about migration and international protection has been raised through a systematic approach (incl. media).
- vi. Provision of educational services for Syrian refugees under temporary protection and related institutional capacity has increased

Under Integrated Border Management:

- vii. Institutional capacity and operational know-how in terms of border surveillance and fight against irregular migration at Turkish -EU borders has been increased;
- viii. The capacity for customs controls and surveillance at pilot airports will be increased;
- ix. Interagency cooperation has been enhanced through the establishment of a National Coordination and Joint Risk Analysis Centre (NACORAC).

Key indicators that will be considered for the performance measurement of this action will be:

- Number of requirements fulfilled under the visa liberalisation roadmap;
- Level of progress achieved in alignment with the EU *acquis* and standards;
- Number of irregular migrants returned to countries of origin (voluntary and forced return);
- Quantified hosting capacity for irregular migrants in removal centres in Turkey;
- Number of irregular migrants that have received gender-sensitive voluntary return assistance;
- Number of consultations and cooperation agreements organised between Directorate General for Migration management (DGMM) and CSOs;
- Number of Syrian refugee children with access to education out of total school age population - %;
- Number of illegal border crossings (incl. trafficking in human beings) prevented;
- Quantities of illegal goods (drugs cigarettes, explosives, etc.) seized at borders;
- Km of area coverage for modernised surveillance at Western borders.

Moreover, and as specified in the specific action document for the sub-sector home affairs, the outputs of each of the nine activities will be measured through specific indicators with targets by 2017 and 2020.

(2) Assumptions and conditions

National co-financing of EUR 12 002 500 (14.77 % of total cost) will be provided to achieve the above mentioned results.

The financing of the activity on a National Coordination and Joint Risk Analysis Centre is conditional on the enacted data protection legislation that meets European standards.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action:

The activities with the expected results (i), (ii), (iv), (v), (vii), (viii) and (ix) will be managed under indirect management with Turkey.

Short description of the tasks entrusted to the entity:

Those activities with an overall EU contribution of EUR 53 997 500 will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the

legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of Interior that will closely coordinate with its sub-institutions, notably the General Directorate for Migration Management and the Border Management Bureau as well as with Ministry of Customs and Trade and other relevant institutions.

For activity with expected result (iii) on assisted voluntary return and reintegration (AVRR), (EU contribution: EUR 5 400 000): Indirect management with IOM

Entity entrusted with budget implementation:

IOM was chosen for this activity because in the Turkish context it holds a de facto monopoly in the development and implementation of AVRR programmes. IOM is mandated by its constitution to ensure orderly migration, inter alia, through voluntary return and reintegration assistance. It qualifies as an international public-sector organisation set up by intergovernmental agreement, in line with art. 43.1(a) of the Financial Regulation Rules of Application. Based on its mandate, IOM has been historically the main provider of developing and implementing AVRR programmes worldwide. IOM's AVRR programmes promote cooperation on migration management issues among host countries and countries of origin. Moreover with its extensive network of offices around the world IOM is in a unique position to carry out multi-national return operations as well as support and monitor reintegration into the countries of origin.

Short description of the tasks entrusted to the entity

IOM will develop and fully implement the AVRR programme, including the conclusion of contracts, their operational and financial management, audit, evaluation, More specifically, in the context of voluntary return assistance and reintegration assistance, IOM will provide grants to irregular migrants stranded in Turkey, and gender-sensitive reintegration measures for selected vulnerable migrants will be put in place in the countries of origin. With its extensive network of offices around the world, IOM will also support and monitor reintegration into the countries of origin (including monitoring of the implementation of the grants), in line with its own operational and financial management procedures. Moreover, IOM will support capacity building of the Directorate General for Migration Management on AVRR related procedures and practices.

For activity with expected result (vi) on supporting Turkey on Provision of Educational Services for Syrian Refugees under Temporary Protection (EU contribution: EUR 9 875 000) : Indirect management with UNHCR

Entity entrusted with budget implementation:

UNHCR was chosen for this activity because of its specific internationally recognised mandate to pursue protection, assistance and solution for refugees which is in line with the provisions of art. 43.1(a) of the Financial Regulation Rules of Application. In the context of the Syrian refugee crisis in Turkey, UNHCR holds a coordinating role among UN agencies. It is the direct counter-part of the Ministry of Interior's Directorate General for Migration Management (DGMM) which is in charge of coordinating the implementation of Turkey's regulation on temporary protection which includes

provisions for access to education services. UNHCR Turkey's education experts work closely with the Ministry of National Education (MoNE) which is responsible for education activities under the temporary protection regime. As preferred cooperation partner for the activity by the Turkish authorities, UNHCR also coordinates and cooperates closely with other agencies active in the education sector such as UNICEF and IOM.

Short description of the tasks entrusted to the entity

Under this activity UNHCR in close cooperation with other relevant agencies will support the Turkish MoNE at national and provincial levels in strategic planning, capacity building and support on development of secondary legislation, on vocational education and training for Syrian adults and youth and other members of most affected host communities, through support in the provision of language education activities, support to Syrian students aiming to continue their higher education as well as through refurbishment or construction of additional schools or provision of pre-fabricated schools and for making accessible educational services in most affected host communities support Syrian childrens' effective inclusion into Turkish educational system. Support will also include supplies for equipping schools and school kits for children in most affected host communities, production of education materials and programmes for inclusion and adaptation of Syrian children into the Turkish national education system as well as support to skills development of educational and any other relevant staff to address the needs of Syrian refugees children, including skills to deal with vulnerable and /or affected children; For these tasks UNHCR will carry out budget implementing tasks such as award and implementation of procurement contracts, grants and prizes to third parties. The implementation of specific tasks may be further sub-delegated to other pillar assessed UN agencies in line with respective mandates.

SECTOR 5	<i>Energy</i>	<i>EUR 13 090 000</i>
Action 9	<i>Energy</i>	<i>EUR 13 090 000</i>

(1) Description of the action, objective, expected results and key performance indicators

The action in the energy sector will improve the reliability, efficiency and operational performance of Turkish power system by extending and strengthening the National load dispatch system supervisory control and data acquisition system/Energy management system (SCADA/EMS) and Information systems/Information security structure of Turkish electricity transmission system. The action will increase the capability of the integration of large amount of renewable energy resources to the Turkish power system and also enhance the integration and operation capability of the Turkish power system with the European network of transmission system operators for electricity (ENTSO-E) continental Europe synchronous area (CESA).

The action will cover two activities with the objective to increase the integration of the Turkish electricity market with the European internal electricity market.

Expected results of the activities under this action are:

- SCADA/EMS system of the Turkish electricity transmission system has been extended and strengthened;
- Information systems and information security structure of the Turkish electricity transmission system have been expanded.

Key indicators that will be considered for the performance measurement of this action will be:

- Capacity for electricity exchange between Turkish and European electricity markets;
- Status of the interoperability of Turkish power systems and ENTSO-E continental Europe synchronous area (CESA) Network;
- Number of real-time data collected from the substations and power plants by means of SCADA System;
- Installed capacity of the renewable energy resources connected to Turkish power system;
- Number of reliable and secure operation of the Turkish Electricity Transmission Company TEIAS information system security structure;

Targets for these indicators, as well as for the output of the activities such as the number of substations connected to the SCADA/EMS system and to TEIAS information systems and information security structure, have been defined by 2017 and 2020.

(2) Assumptions and conditions

Commitment of Turkey for EU accession continues.

Government institutions are fully committed to the development and implementation of policies and institutional changes required to deliver the action results.

National co-financing of EUR 2 310 000 (15 % of total cost) will be provided to achieve the above mentioned results.

Necessary technical requirements such as proper integration of the Remote Terminal Units RTUs to TEIAS SCADA System by means of communication protocols used in this system.

Failure to comply with the requirement set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action: indirect management with Turkey

(3)(a) Short description of the tasks entrusted to the entity

The activities under this action will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is the Ministry of Energy and natural resources.

SECTOR 8	<i>Agriculture and rural development</i>	<i>EUR 2 990 376</i>
Action 10	<i>Agriculture and rural development</i>	<i>EUR 2 990 376</i>

(1) Description of the action, objective, expected results and key performance indicators

The objective of the action is to contribute to Turkey's accession by supporting alignment with EU legislation in the field of agriculture and rural development, and to enhance the institutional capacity of Ministry of Food agriculture and livestock (MoFAL) to implement the requirements of relevant EU legislation in Turkey within the scope of chapter 11: Agriculture and rural development.

In order to achieve this objective, three activities are supported under this action, which are: (i) Development of strategy for alignment with Common market organization (CMO) and (ii) Capacity building for measure "Advisory services" under the Instrument for Pre-Accession – Rural Development IPARD II Programme and (iii) Establishment of a farm advisory system (FAS) in Turkey.

Expected results of the activities under this action are:

- A strategy laying down all necessary steps, timetable and estimated budget for gradual adoption of EU legislation on CMO by the accession has been prepared.
- Institutional capacity related with the Common Agricultural Policy (CAP) and CMO regime within the Ministry and stakeholders has been improved through awareness raising activities and trainings.
- The advisory services capacity for operating related measure in IPARD II has been improved.
- A farm advisory system has been established in line with EU requirements.

Key indicators that will be considered for the performance measurement of this action will be:

- A roadmap for the alignment of the CMO developed.
- The number of trained advice providers increased.
- The number of trained advisors in FAS increased.
- Drafted legislation for EU harmonized FAS.

(2) Assumptions and conditions

Commitment of Turkey for EU accession continues.

Government institutions are fully committed to the development and implementation of policies and institutional changes required to deliver the action results.

National co-financing of EUR 996,792 (25 % of total cost) will be provided to achieve the above mentioned results.

Failure to comply with the requirements set out above may lead to a recovery of funds under this programme and/or the re-allocation of future funding.

(3) Implementation arrangements of the action: indirect management with Turkey

(3)(a) Short description of the tasks entrusted to the entity

The activities under this action will be managed under indirect management by the CFCU as operating structure. The entrusted entity shall be responsible for the contracting, implementation, information and visibility, monitoring and reporting of IPA II activities, and the evaluation thereof whenever relevant, in line with the mandates given under the IPA II legal framework and in accordance with the principle of sound financial management, and for ensuring the legality and regularity of the expenditure incurred in the implementation of the programme.

The lead institution for programming and monitoring this action is MoFAL.

2.2 PROVISIONS APPLICABLE TO ALL ACTIONS:

(1) Authorisation for the use of simplified forms for grants included in the actions to be awarded under indirect or direct management:

a) Forms of grant and categories of costs covered:

The grants for the actions under this programme shall take either or a combination of the following forms:

- (a) lump sums, reimbursement on the basis of unit costs and flat-rate financing calculated in accordance with the beneficiaries' historical data or usual cost accounting practices for the categories of eligible costs specified below;
- (b) the reimbursement of eligible costs actually incurred for all other categories of eligible costs.

The categories of eligible costs that may be covered by the lump sum, reimbursement on the basis of unit costs and flat-rate financing are the following: support staff costs, local transportation, local office consumables and supplies, communication activities and visibility events.

Other categories of eligible costs shall be reimbursed on the basis of eligible costs actually incurred.

The amounts of the lump sums, unit costs and flat rate financing to be used shall be calculated in accordance with the method set out in point c. The amounts of the lump sums, unit costs and flat rate financing to be declared by the beneficiaries shall be calculated in accordance with the method set out in point c.

b) Justification:

Given the nature of the supported activities, and in order to simplify the management of the grants expected under this programme, some costs may be identified in each grant's action budget per unit, global price or as a percentage of other eligible direct costs.

When grants or parts of grants are based on simplified cost options, these amounts shall be established in such a way as to exclude fraud and profit *a priori*. The costs shown in

the contract should not be challenged by ex post controls, i.e. through comparison with the actual costs they cover.

Verifications/audits may be performed to check whether the formulas used by the beneficiary to determine unit costs, lump sums or flat-rates are compliant with the contractual conditions or the generating events have occurred at all. Any undue payment made to the final beneficiaries, may be recovered by the contracting authority up to the amount of the simplified cost options.

c) Method to determine and update the amounts :

Amounts will be determined by reference to statistical data, factual information or similar objective means, and/or to the historical data of each beneficiary.

d) No-profit and co-financing principles and absence of double financing:

Grant applicants will need to explain the methods proposed to calculate the amounts of unit costs, lump sums and/or flat-rates, to which costs they refer, and clearly explain all formulas for calculation of the final eligible amount.

3 BUDGET

3.1 INDICATIVE BUDGET TABLE - COUNTRY ACTION PROGRAMME FOR TURKEY

	Indirect management with the IPA II beneficiary			Other Implementation arrangements			MM	Total programme	Total EU Contribution	
	Titles	EU Contribution	IPA II Beneficiary co-financing	Total expenditure	EU Contribution	IPA II Beneficiary co-financing				Total expenditure
Democracy and rule of law	Democracy and governance	238,404,624	257,693,124	496,097,748	0	0	0	496,097,748	238,404,624	
	AD 1 - Town twinning between Turkey and EU	4,050,000	450,000	4,500,000	0	0	0	IM BC	4,500,000	4,050,000
	AD 2 - Regulatory reform and acquis alignment	32,400,000	3,600,000	36,000,000	0	0	0	IM BC	36,000,000	32,400,000
	AD 3 - Participation in Union programmes	167,304,624	250,483,124	417,787,748	0	0	0	IM BC	417,787,748	167,304,624
	AD 4 - Civil society	20,190,000	3,160,000	23,350,000	0	0	0	IM BC	23,350,000	20,190,000
	AD 5 - Jean Monnet scholarship programme	14,460,000	0	14,460,000	0	0	0	IM BC	14,460,000	14,460,000
	Rule of law and fundamental rights	90,880,000	15,980,000	106,860,000	20,675,000	0	20,675,000		127,535,000	111,555,000
	AD 6 - Judiciary	28,657,500	2,602,500	31,260,000	0	0	0	IM BC	31,260,000	28,657,500
	AD 7 - Fundamental rights	8,225,000	1,375,000	9,600,000	5,400,000	0	5,400,000	IM BC and DM	15,000,000	13,625,000
	AD 8 - Home affairs	53,997,500	12,002,500	66,000,000	15,275,000	0	15,275,000	IM BC and IM IO	81,275,000	69,272,500
TOTAL	329,284,624	273,673,124	602,957,748	20,675,000	0	20,675,000		623,632,748	349,959,624	
Competitiveness and growth	Energy	13,090,000	2,310,000	15,400,000	0	0	0		15,400,000	13,090,000
	AD 9 - Energy	13,090,000	2,310,000	15,400,000	0	0	0	IM BC	15,400,000	13,090,000
	Agriculture and rural development	2,990,376	996,792	3,987,168	0	0	0		3,987,168	2,990,376
	AD 10 - Agriculture	2,990,376	996,792	3,987,168	0	0	0	IM BC	3,987,168	2,990,376
	TOTAL	16,080,376	3,306,792	19,387,168	0	0	0		19,387,168	16,080,376
TOTALS	345,365,000	276,979,916	622,344,916	20,675,000	0	20,675,000		643,019,916	366,040,000	

4 IMPLEMENTATION MODALITIES AND GENERAL RULES FOR PROCUREMENT AND GRANT AWARD PROCEDURES

DIRECT MANAGEMENT:

Part of this programme shall be implemented by direct management by the Commission / by the Union Delegations in accordance with article 58(1)(a) of the Financial Regulation and the corresponding provisions of its Rules of Application.

Procurement shall follow the provisions of Part Two, Title IV Chapter 3 of the Financial Regulation No 966/2012 and Part Two, Title II, Chapter 3 of its Rules of Application.

Grant award procedures shall follow the provisions of Part Two Title IV Chapter 4 of the Financial Regulation No 966/2012 and Part Two Title II Chapter 4 of its Rules of Application.

Under the Financial Regulation, Parts One and Three of the Financial Regulation and its Rules of Application shall apply to external actions except as otherwise provided in Part Two, Title IV.

The Commission may also use services and supplies under its Framework Contracts concluded following Part One of the Financial Regulation.

Twinning:

Twinning projects shall be set up in the form of a grant agreement, whereby the selected Member State administrations agree to provide the requested public sector expertise against the reimbursement of the expenses thus incurred.

The contract may in particular provide for the long-term secondment of an official assigned to provide full-time advice to the administration of the IPA II beneficiary as resident twinning advisor.

The twinning grant agreement shall be established in accordance with relevant provisions of Part Two Title IV Chapter 4 of the Financial Regulation and Part Two Title II Chapter 4 of its Rules of Application. Parts One and Three of the Financial Regulation and its Rules of Application *shall* apply to external actions except as otherwise provided in Part Two, Title IV.

INDIRECT MANAGEMENT:

Part of this programme shall be implemented by indirect management by Turkey in accordance with Article 58(1)(c) of the Financial Regulation and the corresponding provisions of its rules of application.

Part of this programme shall be implemented by indirect management with entrusted entities other than the IPA II beneficiary in accordance with Article 58(1)(c) of the Financial Regulation and the corresponding provisions of its Rules of application.

The change of management mode from indirect management to direct management, whether partially or entirely is not considered a substantial change provided that all essential elements of the actions have been specified in the initial text of the financing decision.

In case an action needs to be implemented under indirect management by an entity other than the one defined for a particular action, the change of entrusted entity shall not be considered substantial provided that this entity has already been identified in this Financing Decision, the entity meets the selection criteria of Article 61(2) FR and the description of the entrusted tasks remains the same.

The general rules for procurement and grant award procedures shall be defined in the Financing Agreement and the relevant delegation agreements between the Commission and the entrusted entity implementing such action.

5 PERFORMANCE MONITORING ARRANGEMENTS

As part of its performance measurement framework, the Commission shall monitor and assess progress towards achievement of the specific objectives set out in the IPA II Regulation on the basis of pre-defined, clear, transparent measurable indicators. The progress reports referred to in Article 4 of the IPA II Regulation shall be taken as a point of reference in the assessment of the results of IPA II assistance.

The Commission will collect performance data (process, output and outcome indicators) from all sources, which will be aggregated and analysed in terms of tracking the progress versus the targets and milestones established for each of the actions of this programme, as well as the country strategy paper.

In the specific context of indirect management by IPA II beneficiaries, national IPA Coordinators (NIPACs) will collect information on the performance of the actions and programmes (process, output and outcome indicators) and coordinate the collection and production of indicators coming from national sources.

The overall progress will be monitored through the following means: a) Result orientated monitoring (ROM) system; b) IPA II beneficiaries' own monitoring; c) self-monitoring performed by the EU Delegations; d) joint monitoring by the Commission and the IPA II beneficiaries, whereby the compliance, coherence, effectiveness, efficiency and coordination in implementation of financial assistance will be regularly monitored by an IPA II monitoring committee, supported by sectoral monitoring committees, which will ensure a monitoring process at sector level.