

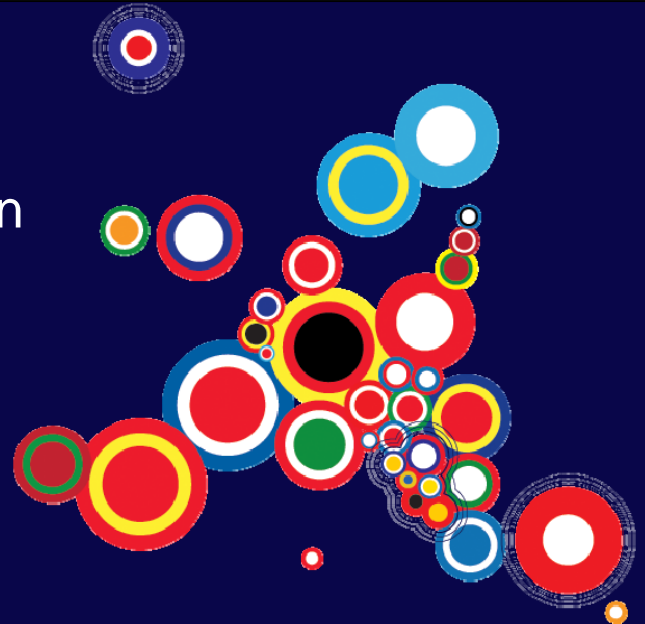


INSTRUMENT FOR PRE-ACCESSION ASSISTANCE (IPA II) 2014-2020

TURKEY

EU support to participation in
Union programmes
and Agencies

2017 Action Document



Action summary

As part of the Pre-Accession Strategy, Turkey participates in different Union Programmes and Agencies such as: Erasmus+, Horizon 2020, COSME, Customs, Fiscalis, Employment and Social Innovation, Civil Protection Mechanism and two agencies being European Environment Agency and European Monitoring Centre For Drug and Drug Addiction. Turkey might decide to also participate in additional programmes.

The objective of the action is to ensure Turkey's participation in Union programmes and agencies by co-financing the costs of the entry-tickets/participation.

Expected results of this action are: Enhanced participation of Turkey in Union programmes and agencies, including increased exchanges with EU Member States; Strengthened ownership and responsibility of Turkey (including in financial terms) for participation in Union programmes and agencies; Strengthened EU-Turkey citizens' involvement in the European integration project and in complementarity with institutional and political cooperation.

Action Identification	
Action Programme Title	Annual Action Programme for Turkey 2017
Action Title	Support to participation in Union programmes and agencies
Action ID	IPA/2017/040-201/1/Turkey/Union Programmes and agencies
Sector Information	
IPA II Sector	1. Democracy and Governance
DAC Sector	43010 (multisector aid)
Budget	
Total cost	300.085.421 €
EU contribution	110.100.000 €
Management and Implementation	
Method of implementation	Indirect management
<i>Indirect management:</i> National authority or other entrusted entity	The National Fund is responsible for the allowable Pre-accession financial assistance share of the financial contribution to be paid by the country to participate in Union programmes and agencies
Implementation responsibilities	Ministry for EU Affairs Directorate for Social, Regional and Innovative Policies Ms. Petek KARATEKELİOĞLU National Fund
Location	
Zone benefiting from the action	Turkey
Specific implementation area(s)	Turkey
Timeline	
Final date for concluding Financing Agreement(s) with IPA II beneficiary	At the latest by 31 December 2018
Final date for concluding delegation agreements under indirect management	N/A
Final date for concluding procurement and grant contracts	3 years following the date of conclusion of the Financing Agreement, with the exception of cases listed under Article 189(2) of the Financial Regulation
Final date for operational implementation	6 years following the conclusion of the Financing Agreement

Final date for implementing the Financing Agreement (date by which this programme should be de-committed and closed)	12 years following the conclusion of the Financing Agreement		
Policy objectives / Markers (DAC form)			
General policy objective	Not targeted	Significant objective	Main objective
Participation development/good governance	<input type="checkbox"/>	<input type="checkbox"/>	<input checked="" type="checkbox"/>
Aid to environment	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Gender equality (including Women In Development)	<input type="checkbox"/>	<input checked="" type="checkbox"/>	<input type="checkbox"/>
Trade Development	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Reproductive, Maternal, New born and child health	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
RIO Convention markers	Not targeted	Significant objective	Main objective
Biological diversity	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Combat desertification	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Climate change mitigation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Climate change adaptation	<input checked="" type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

1. RATIONALE

PROBLEM AND STAKEHOLDER ANALYSIS

On the basis of Association Council decisions or Framework Agreements, candidate countries may participate in certain European Union programmes and agencies. Pre-accession financial assistance can be used to help a candidate country to meet part of the costs of participation in those programmes and agencies, on the basis of an increasing contribution from the Candidate Country.

The Framework Agreement between Turkey and the European Community on the General Principles for the Participation of Turkey in Community programmes was signed on 26 February 2002, and entered into force on 5 September 2002. Thus, Turkey's participation in a number of programmes has been materialised through separate Memoranda of Understanding until 2014.

From 2014 and onwards, Turkey and the European Commission have signed new international agreements on the participation of Turkey in the next generation of Union programmes.

Turkey is aiming at increasing the number of its participation in the programmes and agencies. Overall coordination of Turkey's participation in Union Programmes and Agencies is carried out by the Ministry for EU Affairs (MEU). MEU is responsible of the decision on the participation in programmes or on the termination of the participation, monitoring and evaluating the performance of Turkey in the actions of the Programmes and Agencies.

In 2014-2020 period Turkey is participating in EU's Erasmus+ Programme for education, training, youth and sport, Horizon 2020 Framework Programme for research and innovation, COSME Programme for the competitiveness of enterprises and small and medium-sized enterprises, EaSI Programme for employment and social innovation, Customs 2020 Programme, Fiscalis 2020 Programme to improve the operation of taxation systems, Civil Protection Mechanism and two agencies being European Environment Agency and European Monitoring Centre For Drug and Drug Addiction.

This action document for the 2017 Financing Agreement shows EU support for Turkey's participation, inter alia, in the Programmes and Agencies listed in the table below.

OUTLINE OF IPA II ASSISTANCE

N/A

RELEVANCE WITH THE IPA II STRATEGY PAPER AND OTHER KEY REFERENCES

Union programmes and agencies form part of the move towards ever closer relations between the partners within the EU and those in Turkey; and familiarise them with policies and working methods while facilitating exchanges of experience and best practices.

Participation in Union programmes and agencies is an important element covered under Democracy and Governance; an area where Turkey has been active and developed capacities. Turkey's participation in Union programmes which allows for exchange between Turkish and EU citizens is an important complementary measure in this regard. Allowing for exchanges between Turkish and EU citizens, some of the Union programmes can also contribute to civil society dialogue. As the Strategy Paper states, an active civil society mirrors an effective pluralism which implies the respect for fundamental rights and freedoms, the rule of law and the possibility for social and political change. Civil society activities can stimulate and expand the space for dialogue and cooperation.

Continuing participation in Union programmes and agencies is important for both Turkey and the EU.

Regarding Competitiveness and Innovation, the Scientific and Technological Research Council of Turkey (TÜBİTAK), partner in the Union Programme Horizon 2020, is moving towards more collaboration with economic operators. The Ministry of Science, Industry and Technology (MoSIT) also supports clusters through calls for proposals. There are other well-institutionalised partners in the system like KOSGEB, the SME Development Agency and the Turkish Patent Institute with wide outreach activities to the private sector. KOSGEB is the coordinating institutions for Turkey's participating in COSME. As one of the core themes in the Competitiveness and Innovation sector will be capacity-building and support programmes, including for increased participation in Union Programmes, there is an important link to Turkey's participation in Horizon 2020, COSME and the Employment and Social Innovation programme.

Concerning the accession negotiations under the science and research chapter, the implementation capacity relate to the existence of the necessary conditions for effective participation in the Horizon 2020. In order to ensure the successful implementation of the acquis in this field, Turkey has created the necessary implementing capacities for a further effective participation.

With regard to the accession negotiations under the education and culture chapter, the acquis *mainly* consists of the action programmes. The implementation capacity relates to the existence of the necessary conditions for effective participation in Erasmus+. The applications for Erasmus+ continue to grow considerably. Turkey decided to withdraw from Creative Europe as of 1 January 2017.

Turkey and EU cooperate under the EMCDDA in assessing the many facets of the drug situation in Europe to improve relevant tools. Turkey's Drug Report 2014, prepared by Turkish National Monitoring Centre for Drugs and Drug Addiction (TUBİM) was submitted to European Monitoring Centre for Drugs and Drug Addiction in January 2015.

The EU Civil Protection Mechanism, fostering cooperation among national civil protection authorities across Europe, enables coordinated assistance from the participating states including Turkey to victims of natural and man-made disasters in Europe and elsewhere.

LESSONS LEARNED AND LINK TO PREVIOUS FINANCIAL ASSISTANCE

With regard to the participation of Turkey to Union programmes **in 2014-2016** (*rounded figures*):

Erasmus+ is the EU programme receiving major financial support from IPA II funds. The financial contribution -"entry ticket"- to be paid by Turkey to the General Budget of the European Union to participate in the Erasmus+ Programme for the period 2014-2020 shall be EUR 933 million. IPA II funds co-finance close to 50% of these participation fees, with EUR 437 million. Under the Erasmus+ Programme, Turkish National Agency received EUR 306.408.359, which is below the participation cost. Turkish National Authority started its initiatives to increase this amount.

Turkish partners received EUR 98.005.857 in Horizon 2020 Programme, equalling 60% of the participation fee. TÜBİTAK is implementing a programme with incentives to increase Turkish participation in the programme.

The impact of the post 15 July 2016 coup attempt on the implementation of both Erasmus + and Horizon 2020 is under close monitoring by the EU in cooperation with the implementing Turkish partners.

Turkish partners have started to benefit from the COSME Programme despite the delay in the ratification of the participation agreement. KOSGEB, the national coordinator of the programme, established a consortia under European Enterprise Network, which is expected to increase Turkey's participation in the Programme. As regards the financial instruments, an agreement has been signed with EIF, which is expected to create 750 Million TL (ca 182 MEUR) credit volume with a 28,87 Million TL (ca. 7 MEUR) EIF commitment.

Participation in Fiscalis and Customs Programmes shows a steady level over time. This reflects the overall trend of the programmes, which are closely linked to policy developments. Customs and taxation

administrations may increase their participation in the upcoming years to a certain extent, in those areas where Turkey has particular business needs.

In regard to Employment and Social Innovation (EaSI), Turkey started its participation in the programme and some organisations are already benefiting from it.

Enhanced cooperation between the Ministry of Environment and Urbanism and European Commission has also started to increase Turkey's participation in the activities of European Environment Agency.

2. DESCRIPTION AND IMPLEMENTATION ARRANGEMENTS

INTERVENTION LOGIC

Objective:

- To ensure participation of Turkey in Union programmes and agencies by co-financing the costs of the entry-tickets/participation fees to be paid in areas such as research and innovation, SMEs, social policy, customs and fiscal policy, education and youth etc.

Result:

- Enhanced participation of Turkey in Union programmes and agencies, including increased exchanges with EU Member States;
- Strengthened capacity, ownership and responsibility of Turkey (including in financial terms) for participation in Union programmes and agencies.
- Strengthened EU-Turkey citizens' involvement in the European integration project in complementarity with institutional and political cooperation

Indicators:

- Number of programmes and agencies for which an International Agreement has been concluded: 9 as of 2017 and 10 as of 2020
- Turkey's participation rates in the different Union programmes:

Erasmus+: Number of participants in the mobility programmes: Final target (2020) 450.000 in total.

Horizon 2020: % of return rate of entry ticket: Final target (2020): 65% of total budget.

COSME: % of return rate of entry ticket: Final target (2020): 90% of total budget including the return from financial instruments

Customs 2020: Number of participants in meetings: Final target (2020): 450 in total.

Fiscalis 2020: Number of participants in meetings: Final target (2020): 450 in total.

EaSI: Number of projects retained for funding: Final target (2020) 5 in total.

IMPLEMENTATION ISSUES

IPA funds of year 2017 co-finance entry tickets / participation fees for year 2018 and 2019, except for Erasmus+ for which support is limited to 2018. 63.000.000,00 € of the 2019 entry ticket for Erasmus+ Programme is to be financed by IPA funds for 2018.

The participation of the IPA II beneficiary in Union programmes, including payment by the country of the entry ticket/participation fees, shall follow the specific terms and conditions set out for each programme in the relevant International Agreement. Co-financing rates from previous participation shall be taken into account, and in line with the principle of increasing Turkey's ownership and responsibility IPA funding rates shall decrease over the years in real or relative terms.

Turkey must not only provide the co-financing but also the funds necessary for the payment of the total entry ticket, prior to receiving the partial reimbursement from IPA II. The reimbursement will be paid as a grant to Turkey.

The NIPAC will regularly monitor the participation rates and reports on them as well as on co-financing trends in the context of IPA monitoring committees.

COMMUNICATION AND VISIBILITY

Communication and visibility activities shall be implemented in accordance with the rules of each Union programme. The relevant programme managing entity shall be responsible for monitoring of the visibility activities. The Ministry of EU affairs works with EUD, in collaboration with the national institution participant of the each Union Programme, in planning and implementing visibility actions linked to the implementation of respective Union programmes.

3. BUDGET BREAKDOWN

	Total expenditure	IPA II contribution		IPA II beneficiary contribution	
	EUR	EUR	%	EUR	%
Participation in 2017					
Entry ticket for Erasmus+*	135.000.000	63.000.000	46,67	72.000.000	53,33
Entry ticket for Horizon 2020**	137.235.195	38.445.000	28,01	98.790.195	71,99
Entry ticket for COSME	19.699.226	4.622.750	23,47	15.076.476	76,53
Entry ticket for Customs 2020	310.000	124.000	40,00	186.000	60,00
Entry ticket for Fiscalis 2020	245.000	110.250	45,00	134.750	55,00
Entry ticket for EaSI	400.000	200.000	50,00	200.000	50,00
Entry ticket for Civil Protection Mechanism	400.000	200.000	50,00	200.000	50,00
Entry ticket for EMCDDA	542.000	271.000	50,00	271.000	50,00
Entry ticket for EEA	6.254.000	3.127.000	50,00	3.127.000	50,00
TOTAL for ACTION***	300.085.421	110.100.000	36,68	189.985.421	63,32

* Erasmus+ entry ticket is kept as for 2018 only. 2019 entry ticket for Erasmus+ Programme will be included in the Action Document to be submitted under 2018 Financing Agreement.

** The amount to be paid for Horizon 2020 may change in 2019 depending on the final budget of the Programme in 2019. If the Total Expenditure exceeds this figure IPA II Contribution will remain fixed resulting in an increase in the IPA II beneficiary contribution. If the Total Expenditure is lower than this figure, part of the IPA II Contribution which will then be available could also be added to the EU support for the remaining Union programmes, or for any other Union programme as well as any new programmes Turkey may decide to participate in.

*** In case of withdrawal from any Programme, the figure allocated to the relevant Programme shall be reallocated to other programmes.

ANNEX

Overview of Turkey's public institutions that have signed International Agreements with the European Commission and dates of entry into force or latest amendments to the International Agreements

The Agreement for the participation in European Environment Agency was signed on 9 October 2000. The Council of Ministers' Decree was published in the Official Gazette on 18 March 2003.

The Agreement for the participation in Erasmus+ was signed on 19 May 2014. The Council of Ministers' Decree was published in the Official Gazette on 17 June 2014.

The Agreement for the participation in Horizon 2020 was signed on 4 June 2014. The Council of Ministers' Decree will be published in the Official Gazette 12 July 2014.

The Agreement for the participation in Custom 2020 was signed on 16 July 2014. The Council of Ministers' Decree was published in the Official Gazette on 30 September 2014.

The Agreement for the participation in Fiscalis 2020 was signed on 16 July 2014. The Council of Ministers' Decree was published in the Official Gazette on 2 October 2014.

The Agreement for participation in COSME has been signed on 16 October 2014. The Council of Ministers' Decree was published in the Official Gazette on 18 December 2014.

The Agreement for participation for participation in EaSI has been signed on 17 February 2015.. The Council of Ministers' Decree was published in the Official Gazette on 21 May 2015.

The Agreement for participation in Civil Protection Mechanism has been signed on 6 May 2015. The Council of Ministers' Decree was published in the Official Gazette on 22 March 2016.

The Agreement for participation in European Monitoring Centre for Drug and Drug Addiction (EMCDDA) was signed on 30 October 2007. The related Act was published in Official Gazette on 30 June 2014.