

Project Fiche: No. 13-Amendment 2

Project Preparation and European Integration Facility

1. Basic information

- 1.1 CRIS Number:** 2009/021-373, 2010/022-471
- 1.2 Title:** Project Preparation and European Integration Facility
- 1.3 ELARG Statistical code:** Code: 04 – 40 Supporting Programmes
- 1.4 Location:** Western Balkans: Albania, Bosnia and Herzegovina, Croatia, the former Yugoslav Republic of Macedonia, Montenegro, Serbia as well as Kosovo under UNSCR 1244/99

Turkey

Implementing arrangements:

- 1.5 Contracting Authority (EC):** European Community represented by the Commission of the European Communities on behalf of the Beneficiaries.
- 1.6 Implementing Agency:** Not applicable
- 1.7 Beneficiary:** Western Balkans: Albania, Bosnia and Herzegovina, Croatia, the former Yugoslav Republic of Macedonia, Montenegro, Serbia as well as Kosovo under UNSCR 1244/99

Turkey

Financing:

- 1.8 Overall cost (VAT excluded)¹:** EUR 1 414 500
- 1.9 EC contribution:** EUR 1 000 000
- 1.10 Final date for contracting:** 30 November 2010
- 1.11 Final date for execution of contracts:** 30 November 2012
- 1.12 Final date for disbursements:** 30 November 2013

¹ The total cost of the project should be net of VAT and/or other taxes. Should this not be the case, the amount of VAT and the reasons why it should be considered eligible should be clearly indicated.

2. Overall Objective and Project Purpose

2.1 Overall Objective

To ensure effective programming and project development as well as implementation of the Multi-beneficiary Programme under the IPA thus contributing to the overall progress of the accession process and increasing the effectiveness and impact of accession oriented projects financed through IPA.

2.2 Project purpose

To support the programming phase, the planning process of future programmes and the implementation of the Multi-beneficiary Programme in order to allow project preparation and implementation to proceed in a quick and effective manner as well as to enable the implementation of a number of accession-related actions involving various public/private stakeholders in order to meet specific/urgent needs in the beneficiaries.

2.3 Link with AP/NPAA / EP/ SAA²

As for activities 5 and 6, maintaining full cooperation with the International Criminal Tribunal for the former Yugoslavia (ICTY) and ensure integrity of domestic war crimes proceedings features prominently as one of the key conditions in the accession process.

2.4 Link with MIPD

The Project Preparation Facility supports all priority areas of the IPA Multi-beneficiary MIPDs and will facilitate the preparation of various types of projects to be financed under the IPA Multi-beneficiary Programme.

3. Description of project

3.1 Background and justification

The main objective of the IPA is to help the beneficiaries face the challenges of European integration, implement the reforms needed to fulfil EU requirements and make progress in the Stabilisation and Association Process. The Multi-beneficiary programme is designed to respond to Multi-beneficiary priority needs as indicated in the IPA Multi-beneficiary MIPDs, taking into account activities implemented under the National Programmes, the guidance provided in EU strategic documents, the lessons learned from the programming and implementation of previous EU assistance and the findings from consultations with, inter alia, the Beneficiaries, International Financial Institutions, the Regional Cooperation Council, EU Member States, civil society organisations and European Commission services.

The European Commission is responsible for the programming, contracting and implementation of the Multi-beneficiary Programme under IPA on behalf of the Beneficiaries, but involves the beneficiaries and other stakeholders at an early stage in the programming to ensure ownership of and involvement in the projects.

In order to improve the quality and speed up the programming and implementation of the Multi-beneficiary Programme as well as to support urgent specific priorities identified during accession

² AP = Accession Partnership; NPAA = National Programme for the Adoption of the Acquis (for Candidates), National Action Plan (for Potential Candidates); EP= European Partnership; SAA = Stabilisation and Association Agreement

negotiations but which affect several countries (e.g. cooperation with ICTY) it has been decided to create a Project Preparation and European Integration Facility.

3.2 Assessment of project impact, catalytic effect, sustainability and cross border impact

The impact of the Project Preparation and European Integration Facility is significant. Effective delivery of the facility results in:

- More effective implementation of the relevant programmes and projects – better designed projects produce better results and are also less likely to require subsequent addenda and contractual revision;
- Faster completion of tendering procedures – properly prepared documentation can be approved faster and will require less corrigenda once launched;
- Higher quality responses from organisations and companies submitting bids – tender documentation which is clear, logical and learns from experience elsewhere in the EU will ensure that submitted offers provide what is required by the Contracting Authority;
- Increased disbursement of available funds;
- Improved effectiveness of projects.
- Facilitation of the accession process.

Sustainability is ensured through demonstrating how good project design leads to good project implementation. This will encourage all stakeholders to attach greater priority to the programming and project design phases and should improve the ownership.

3.3 Results and measurable indicators

Results

1. Strategic plans are designed in different sector areas;
2. Stakeholder consultations organised, project fiches are drafted well;
3. Tender documents, terms of reference and technical specifications drafted;
4. Project preparatory and start-up actions implemented;
5. Urgent/bridging support to activities which lie at the kernel of the accession process is provided (eg. cooperation with ICTY).

Measurable Indicators

1. Quality and quantity of strategic plans;
2. Quality of project fiches and quality and quantity of stakeholder consultations;
3. Quality and quantity of tender documents, terms of reference and technical specifications;
4. Number of preparatory and start-up actions implemented.
5. Number of urgent/bridging actions implemented.

3.4 Activities:

1. Support in designing effective strategic plans in different sector areas, including studies/assessments in the perspective of future IPA multi-beneficiary projects, in particular in such fields as social and economic development at local level
2. Support in planning and programming the IPA-funded projects by for example assisting in stakeholder consultations, advise on drafting Project Fiches and their annexes.
3. Provide expert assistance in drafting the tender documents and/or terms of reference and technical specifications for the implementation of IPA funded projects.
4. Project preparatory and start-up actions.
5. Follow up on the support to the Joint European Commission-ICTY training to National Prosecutors and young Professionals from the former Yugoslavia.
6. Strengthening Regional News Exchange from the International Criminal Tribunal for the former Yugoslavia (ICTY), the International Court of Justice (ICJ) and the International Criminal Court (ICC).

3.5 Conditionality and sequencing:

Not applicable.

3.6 Linked activities

The Project Preparation Facility is linked to the IPA Monitoring Programme which is designed to enhance the relevance, effectiveness, efficiency, impact and sustainability of the assistance to the Western Balkans and Turkey and to provide the Commission with regular analytical assessments on implementation of projects and programmes which is used during the implementation phase of projects as well as in the design of new projects.

It is also linked to the IPA Audit and Evaluation Programme where *audits* provide the Commission with assurance as to the legality and regularity of the assistance and *evaluation* provides the Commission with judgement of interventions according to their results, impacts and needs they aim to satisfy and contributes to the design of interventions, improve the quality and report on the achievements of the interventions, both of which the results are used in future programming.

Activities 5 and 6 are linked to actions initiated by the ICTY or in support of ICTY's work, regarding its legacy, and dissemination and acceptance of its trials. More in particular, activity 5 is a follow up to contract 2009/205-613 (Joint European Commission and ICTY Training Project for National Prosecutors and Young Professionals from the former Yugoslavia) and activity 6 to contract 2008/163-264 (Strengthening Regional News Exchange from the International Criminal tribunal for the former Yugoslavia (ICTY), the International Court of Justice (ICJ) and the International Criminal Court (ICC)..

3.7 Lessons learned

This is the first time a Project Preparation Facility is programmed for the Multi-beneficiary Programme so there are no lessons learned from previous similar facilities. However, National programmes have both under the CARDS and IPA programmes included Project Preparation Facilities which has proved to be an excellent tool for supporting the programming process and the implementation of projects.

According to Result Orientated Monitoring (RoM) Reports, "the SENSE Tribunal project design was appropriate in meeting the perceived needs and in assisting the SENSE News Agency to contribute positively in terms of unbiased reporting and therefore towards perceptions of the ICTY and other related bodies".

No RoM results are yet available on the project 2009/205-613, though the project has been praised both in public and private discussion by the highest instances of the International Tribunal.

4. Indicative Budget (amounts in EUR)

			TOTAL EXP.RE	SOURCES OF FUNDING								
				IPA COMMUNITY CONTRIBUTION		NATIONAL CONTRIBUTION					PRIVATE CONTRIBUTION GRANT BENEFCIARY	
ACTIVITIES	IB (1)	INV (1)	EUR (a)=(b)+(c)+(d)	EUR (b)	% (2)	Total EUR (c)=(x)+(y)+(z)	% (2)	Central EUR (x)	Regional/ Local EUR (y)	IFIs EUR (z)	EUR (d)	% (2)
Project Preparation Facility	x		1 414 500	1 000 000	71	/	/	/	/	/	414 500 ³	29
TOTAL IB			1 414 500	1 000 000	71	/	/	/	/	/	/	/
TOTAL INV			/	/	/	/	/	/	/	/	/	/
TOTAL PROJECT			1 414 500	1 000 000	71						414 500	29

Amounts net of VAT

(1) In the Activity row use "X" to identify whether IB or INV

(2) Expressed in % of the **Total** Expenditure (column (a))

³ Circa 400 000 are expected to be provided to the SENSE News Agency by other key International donors; Circa EUR 14 500 to be provided by OECD regarding the direct grant with OECD.

5. Indicative Implementation Schedule (periods broken down per quarter)

Contracts	Start of tendering	Signature of contracts	Project Completion
3-6 Framework contracts and 1 service contract	Q4 2009-Q3 2010	Q4 2009-Q4 2010	Q4 2012
Direct grant agreement with OCDE for part of Activity 1	Q4 2009-Q3 2010	Q2 2010-Q4 2010	Q4 2012
Direct grant agreement with ICTY for Activity 5	Q4 2009-Q3 2010	Q2 2010-Q4 2010	Q4 2012
Direct grant agreement with SENSE for Activity 6	Q4 2009-Q3 2010	Q2 2010-Q4 2010	Q4 2012

6. Cross cutting issues

6.1 Equal Opportunity

Equal opportunities and non-discrimination principles will be respected as regarding gender as well as minorities at the programming and implementation stage. Based on the fundamental principles of promoting equality and combating discrimination, participation in the project will be guaranteed on the basis of equal access regardless of sex, racial or ethnic origin, religion or belief, disability, age or sexual orientation.

ANNEXES

- I- Logical framework matrix in standard format
- II- Amounts (in EUR) contracted and disbursed per quarter over the full duration of project
- III- Details per EC funded contract

ANNEX I: Logical framework matrix in standard format

LOGFRAME PLANNING MATRIX FOR Project Fiche	Programme name and number: Project Preparation and European Integration Facility	2009/021-373
	Contracting period expires: 30 November 2010	Disbursement period expires: 30 November 2013
	Total budget: EUR 1 414 500	IPA budget EUR 1 000 000

Overall objective	Objectively verifiable indicators	Sources of Verification	
To ensure effective programming and project development as well as implementation of the Multi-beneficiary Programme under the IPA thus contributing to the overall progress of the accession process and increasing the effectiveness and impact of accession oriented projects financed through IPA.			
Project purpose	Objectively verifiable indicators	Sources of Verification	Assumptions
To support the programming phase, the planning process of future programmes and the implementation of the Multi-beneficiary Programme in order to allow project preparation and implementation to proceed in a quick and effective manner as well as to enable the implementation of a number of accession-related actions involving various public/private stakeholders in order to meet specific/urgent needs in the beneficiaries .			
Results	Objectively verifiable indicators	Sources of Verification	Assumptions

<ol style="list-style-type: none"> 1. Strategic plans are designed in different sector areas, including studies/assesments in the perspective of future IPA MB projects 2. Stakeholder consultations organised, project fiches are drafted well; 3. Tender documents, terms of reference and technical specifications drafted; 4. Project preparatory and start-up actions implemented; 5. Urgent/bridging support to activities which lie at the kernel of the accession process (eg cooperation with ICTY). 	<ol style="list-style-type: none"> 1. Quality and quantity of strategic plans; 2. Quality of project fiches and quality and quantity of stakeholder consultations; 3. Quality and quantity of tender documents, terms of reference and technical specifications; 4. Number of preparatory and start-up actions implemented. 5. Number of urgent/bridging actions implemented. 	<ol style="list-style-type: none"> 1. Strategic plans 2. Project fiches, minutes from stakeholder consultations 3. Tender documents, terms of reference and technical specifications; 4. Results from preparatory and start up actions 5. Results from urgent/bridging actions 	
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Activities	Means	Costs	Assumptions
<ol style="list-style-type: none"> 1. Support in designing effective strategic plans in different sector areas, including studies in the perspective of future IPA multi-beneficiary projects, in particular in such fields as social and economic development at local level. 2. Support in planning and programming the IPA-funded projects by for example assisting in stakeholder consultations, advise on drafting Project Fiches and their annexes. 3. Provide expert assistance in drafting the tender documents and/or terms of reference and technical specifications for the implementation of IPA funded projects. 4. Project preparatory and start-up actions. 	<p>Specific contracts under Framework contracts and/or service contracts and 3 direct grant agreements</p>	<p>€1 000 000</p>	<p>Successful implementation of contracts 163-264 and 205-613</p>

<p>5. Follow up on the support to the Joint European Commission-ICTY training to National Prosecutors and young Professionals from the former Yugoslavia.</p> <p>6. Strengthening Regional News Exchange from the International Criminal Tribunal for the former Yugoslavia (ICTY), the International Court of Justice (ICJ) and the International Criminal Court (ICC).</p>			
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ANNEX II: Amounts (in EUR) contracted and disbursed per quarter over the full duration of project

Contracted	Q1 2010	Q2 2010	Q3 2010	Q4 2010	Q1 2011	Q2 2011	Q3 2011	Q4 2011
Contracts	117 092	396 950	485 958					
Cumulated	117 092	514 042	1 000 000					
Disbursed	Q1 2010	Q2 2010	Q3 2010	Q4 2010	Q1 2011	Q2 2011	Q3 2011	Q4 2011
Contracts	5 000	95 888	722 530					176 582
Cumulated	5 000	100 888	823 418	823 418	823 418	823 418	823 418	1 000 000

ANNEX III: Details per EC funded contract

It is expected that the large majority of contracts will be specific contracts under framework contracts, but depending on the nature and scope of the demands, one service contract may be concluded as well.

Three direct grant agreements are also foreseen:

- One with the OECD for an indicative amount of EUR 290 000 to be awarded in Q3 2010, based on Article 168 (1) (f) of the Implementing Rules of the Financial Regulation, since a particular type of body on account of its technical competence is required. Based on their international presence and widely acclaimed experience in horizontal actions and reviews in the area of local economic and employment development, only the OECD combines the required technical capacity, knowledge and above all independence and authority to undertake a fair and consistent assessment of the current capacity, whilst combining this exercise with a proposed mechanism for policy reform monitoring and benchmarking in the perspective of future IPA multi-beneficiary projects in the field of social and economic development at local level.

- One with the ICTY for activity 5 based on Article 168 (1) (f) of the Implementing Rules of the Financial Regulation, on account of its technical competence and high degree of specialisation. Only the ICTY, responsible for the war crime judgements, has the technical capacity and knowledge to conduct this assignment; Indicative amount of EUR 396 950 to be awarded in Q2 2010.

-One with the SENSE News Agency for activity 6 based on article 168 (1) (f) of the Implementing Rules of the Financial Regulation, on account of its technical competence. Only the Sense News Agency, who has been covering regularly and systematically all the trials and other relevant activities at the International Criminal Tribunal for the former Yugoslavia for a number of years, has the technical capacity to conduct this assignment. Indicative amount of EUR 195 958 to be awarded in Q3 2010.