

## Annex 3 - ENPI Regional Action Programme 2012 – part 2

### 1. IDENTIFICATION

Title/Number	ENPI-East Global Allocation CRIS: ENPI/2012/23806		
Total cost	EUR 6 million		
Aid method / Method of implementation	Project approach - Direct centralised management		
DAC-code	43010	Sector	Multisector aid

### 2. RATIONALE

#### 2.1. Sector context

The ENPI-East Global Allocation 2012 is a mechanism giving the European Commission a possibility to decide on the financing of small-scale actions in line with the objectives of the Eastern dimension of the European Neighbourhood and Partnership Instrument (ENPI) as well as its operational and policy priorities.

Based on the ENPI Regulation<sup>1</sup>, and in particular Article 16 "Support measures" thereof, the ENPI-East Global Allocation 2012 provides funding directly necessary for the implementation of the ENPI Regulation and for the achievement of its objectives.

This project to be financed in the present Action Programme will therefore support the preparation, follow-up, monitoring, auditing and evaluation of country and multi-country programmes as well as activities in the field of information and communication.

#### 2.2. Lessons learnt

Given the support nature of previous and current Global Allocations, past actions are assessed against the level of consumption of committed funds. This is one of the criteria, along with priorities and needs, taken into account in the initial programming, as well as in the planning exercise once the Decision is adopted.

#### 2.3. Complementary actions

Global Allocation can be considered as a complementary action to the Technical Assistance allocations existing in the frame of the bilateral Annual Action Programmes.

#### 2.4. Donor coordination

The Global Allocation is not directly subject - by its support nature - to donor coordination.

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<sup>1</sup> ENPI Regulation 1638/2006 of 24/10/2006

### **3. DESCRIPTION**

#### **3.1. Objectives**

The objective of the ENPI-East Global Allocation 2012 is to support the preparation of country and multi-country programmes in the eastern neighbourhood (support for the project management cycle) and activities in the field of information and communication.

#### **3.2. Expected results and main activities**

The ENPI-East Global Allocation 2012 will be used as a framework to finance activities in the following fields:

##### Component 1 - Support to project cycle management

This component includes activities linked to:

- identification and formulation of projects that may result in funding from ENPI East (e.g. sector studies, country or region studies, studies on cross-cutting issues or in specialised areas, preparatory activities, etc.),
- other small-scale activities to back up major projects during their implementation,
- audit and evaluation / impact assessment of projects for which financing of such activities could not be foreseen due to the N+1 rule, or is no longer available.

##### Component 2 - Information and communication activities

This component covers activities linked to:

- information and raising awareness activities about the European Neighbourhood Policy and the Eastern Partnership, designed and implemented to enhance the visibility of EU-funded activities and improve the understanding of EU cooperation policies in each ENPI East country.

The indicative budget for both components is EUR 6 million.

#### **3.3. Risks and assumptions**

Given the nature of the action, no major risks are identified.

#### **3.4. Crosscutting Issues**

Not applicable

#### **3.5. Stakeholders**

The action is designed to support the staff managing the EU cooperation programmes in the field and in the headquarters. The end beneficiaries are citizens of the partner countries as a whole.

## **4. IMPLEMENTATION ISSUES**

### **4.1. Method of implementation**

Direct centralised management by means of service contracts and grant contracts

### **4.2. Procurement and grant award procedures**

Where the action is in the sole interest of the Beneficiary, contracts must be awarded and implemented in accordance with the rules set out in Part Two, Title IV, "External Relations" of the Financial Regulation applicable to the general budget of the EU, completed by rules of Part One, Titles V and VI when so provided by Part Two, Title IV.

Where the action is in the shared interest of the Beneficiary and of the Commission, or in the sole interest of the Commission, contracts implementing the action must be awarded and implemented in accordance with the rules set out in Part One, Titles V and VI of the Financial Regulation applicable to the general budget of the EU.

Irrespective of the procedure used, the authorising officer may decide to use the Commission's (DG Development and Cooperation – EuropeAid) standard contract templates, adapted as may be necessary.

Participation in the award of contracts for the present action shall be open to all natural and legal persons covered by the ENPI Regulation. Further extensions of this participation to other natural or legal persons by the concerned authorising officer shall be subject to the conditions provided for in 21(7) ENPI.

#### Specific rules for grants

The essential selection and award criteria for the award of grants are laid down in the Practical Guide to contract procedures for EU external actions. They are established in accordance with the principles set out in Title VI 'Grants' of the Financial Regulation applicable to the general budget. When derogations to these principles are applied, they shall be justified, in particular in the following cases:

- Financing in full (derogation to the principle of co-financing): the maximum possible rate of co-financing for grants is 80%. Full financing may only be applied for actions implemented in the sole interest of the Beneficiary and in the cases provided for in Article 253 of the Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of the Financial Regulation applicable to the General Budget.
- Derogation to the principle of non-retroactivity: a grant may be awarded for an action which has already begun only if the applicant can demonstrate the need to start the action before the grant is awarded, in accordance with Article 112 of the Financial Regulation applicable to the General Budget.

### **4.3. Budget and calendar**

The total budget for this action is **EUR 6 million**.

Contracts can be financed under Global Allocation as soon as the Commission decision is adopted, until 31 December 2013 in accordance with the N+1 rule.

The operational duration is indicatively **48 months** from the date of signature of the contracts.

#### **4.4. Performance monitoring**

The monitoring of the implementation and further needs will be carried out through periodic assessment of progress and delivery of results.

#### **4.5. Evaluation and audit**

Where provided for in the applicable general conditions, certification of expenditure will have to be submitted as part of the contracts implementing this Decision. Evaluations of the results achieved by some of the projects financed under this decision may be done by external experts hired by the European Commission as well as external audits at the initiative of the Commission, if necessary.

#### **4.6. Communication and visibility**

Communication and information activities are an integral part of the action, aiming at enhanced visibility and better understanding of EU-funded activities in the eastern neighbourhood. The Communication and Visibility Manual for EU External Actions will be respected and followed to ensure the visibility coherence.